



# Detailed Procedure for Regulation 21 and FORMATs



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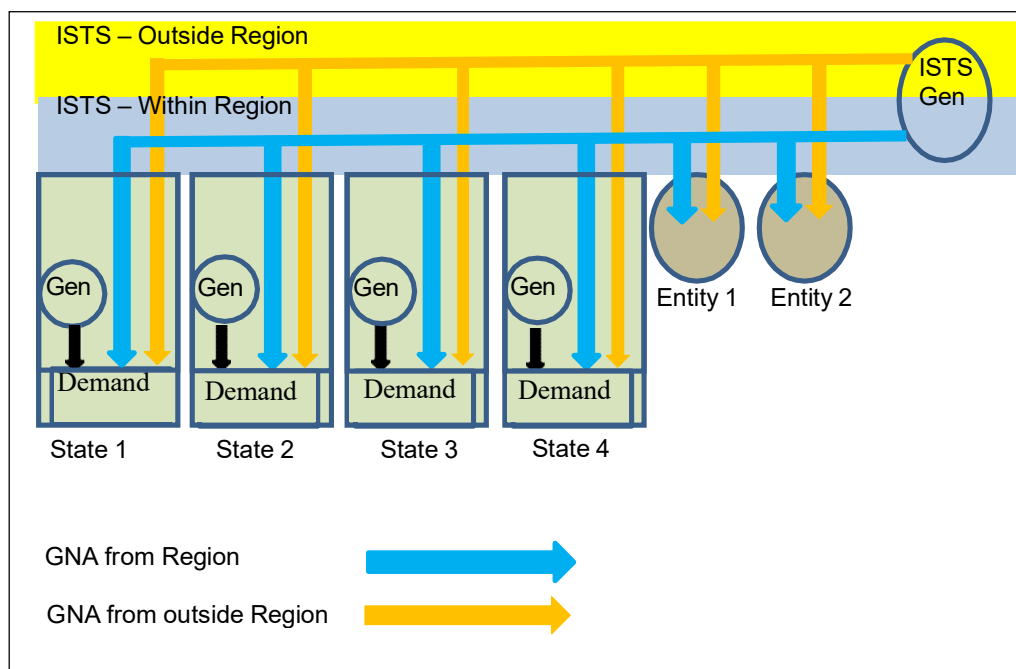
## Detailed Procedure for Regulation 21 and FORMATS

### 1. Background

CERC vide its order dated 14<sup>th</sup> October, 2022 has approved 'Detailed Procedure for Connectivity and GNA' under the Central Electricity Regulatory Commission (Connectivity and General Network Access to the inter-State Transmission System) Regulations, 2022 and has noted the Procedure for Regulation 21 and formats. Accordingly, Procedure for Regulation 21 and Formats are enclosed herewith.

### 2. System Study by the Nodal Agency as per Regulation 21

2.1. In order to carryout system studies for grant of GNA (including additional quantum), preparation of Load-Generation Balance (LGB) is the foremost requirement. The methodology for preparation of LGB is given below. The schematic diagram showing GNA requirement of states and entities from ISTS including segregation of within and outside region is shown as under.



In addition to the GNA requests, states would also need to provide their demand figures for future years keeping in view the EPS (published by CEA) projections.

Based on the inputs from states and entities, following shall be worked out for each of the states for preparation of all India LGB:

#### **A. State GNA**

- GNA [State without intra state entity seeking separate GNA covered under Regulation 17.1 (i)] =  $GNA(SS) = GNA \text{ from within Region } [GNA(SS-R)] + GNA \text{ from outside Region } [GNA(SS-O)]$
- GNA [Intra-State drawee Entity covered under Regulation 17.1 (ii)] =  $GNA(ES) = GNA \text{ from within Region } [GNA(ES-R)] + GNA \text{ from outside Region } [GNA(ES-O)]$
- $GNA \text{ (State)} = GNA(S) = GNA(SS) + GNA(ES) = GNA \text{ from within Region } [GNA(S-R)] + GNA \text{ from outside Region } [GNA(S-O)]$ 
  - $GNA(S-R) = GNA(SS-R) + GNA(ES-R)$
  - $GNA(S-O) = GNA(SS-O) + GNA(ES-O)$
- Demand (State) =  $D(S)$  [*Peak demand of state as confirmed by respective STU shall be taken*]
- Intra-State Generation (State) =  $G(S) = D(S) - GNA(S)$

#### **B. State GNA including additional GNA request:**

- $GNA(S) \text{ new} = GNA(SS) \text{ old} + GNA(SS) \text{ addition} + GNA(ES) \text{ old} + GNA(ES) \text{ addition} = GNA(S-R) + GNA(S-O)$
- $D(S) \text{ new} = D(S) \text{ old} + D(S) \text{ addition}$
- $G(S) \text{ new} = D(S) \text{ new} - GNA(S) \text{ new}$

### C. Regional Demand and Generation:

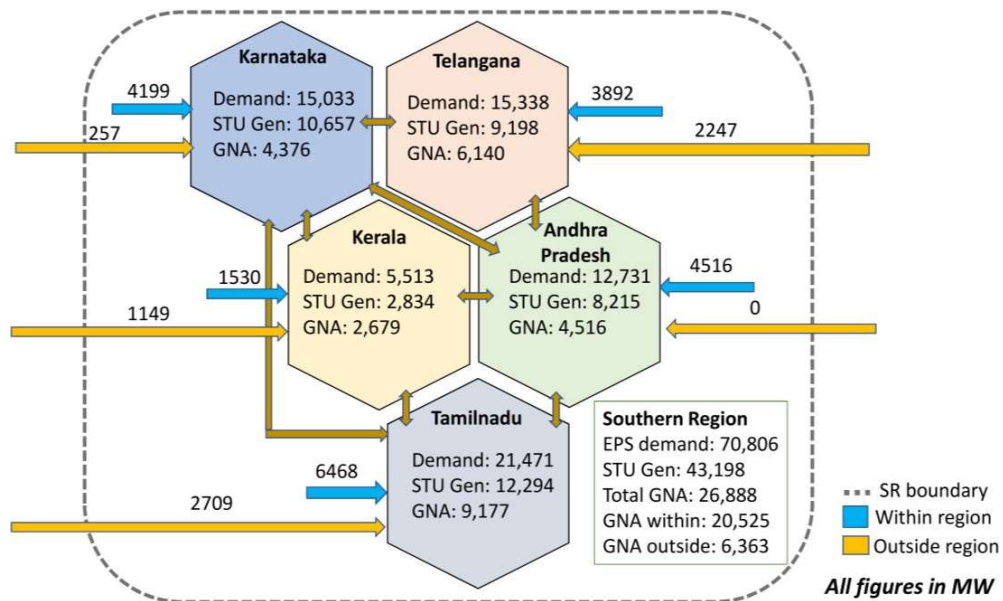
- GNA [ISTS connected Entities covered under Regulation 17.1 (iii), (iv)-for drawl from Indian grid & (v)] = GNA(EI) = GNA from within Region [GNA(EI-R)] + GNA from outside Region [GNA(EI-O)]
- Demand (Region) =  $\sum D(S) + \sum GNA(EI)$
- Generation (Region) =  $\sum G(S) + \sum GNA(S-R) + \sum GNA(EI-R) + \text{Generation for other Regions } [G(OR)]$
- Generation for other Regions [G(OR)] is a variable component. It needs to be varied to arrive at different load generation scenario to meet one region's requirement from other regions.

$$\sum G(OR) = \sum GNA(S-O) + \sum GNA(EI-O)$$

### D. ISTS Generation on all India basis:

- Generation (ISTS) =  $G(I) = \sum GNA(S) + \sum GNA(EI)$

Pictorial depiction of a sample Southern Region LGB as per Deemed GNA quantum is as under:



$$\text{Regional (SR) generation} = 43198 + 20525 + G(OR)$$

$$\sum G(OR) = 6363 \text{ [GNA outside of SR]} + \text{Sum of GNA outside of other regions (NR, WR, ER, and NER)}$$

A sample All India LGB in regard to above methodology is given as under:

| Region           | *Demand         | ISTS Drawal (GNA) | Intra-state Gen | GNA met from Within Region | GNA met from Outside Region | Distribution of total in E among regions | Regional Generation | Surplus/ Deficit |
|------------------|-----------------|-------------------|-----------------|----------------------------|-----------------------------|--|---------------------|------------------|
|                  | <i>A</i>        | <i>B</i>          | <i>C=A-B</i>    | <i>D</i>                   | <i>E</i>                    | <i>F</i>                                 | <i>G=C+D+F</i>      | <i>H=G-A</i>     |
| <b>NR</b>        | 85,930          | 37,992            | 47,938          | 24,956                     | 13,036                      | 7,944                                    | 80,838              | -5,092           |
| <b>WR</b>        | 79,251          | 26,114            | 53,137          | 22,285                     | 3,831                       | 7,094                                    | 82,516              | 3,265            |
| <b>SR</b>        | 70,692          | 27,287            | 43,405          | 20,888                     | 6,398                       | 6,649                                    | 70,942              | 250              |
| <b>ER</b>        | 34,918          | 13,322            | 21,596          | 11,029                     | 2,293                       | 3,511                                    | 36,136              | 1,218            |
| <b>NER</b>       | 5,095           | 2,646             | 2,449           | 2,279                      | 366                         | 726                                      | 5,454               | 359              |
| <b>All India</b> | <b>2,75,886</b> | <b>1,07,361</b>   | <b>1,68,525</b> | <b>81,437</b>              | <b>25,924</b>               | <b>25,924</b>                            | <b>2,75,886</b>     | <b>0</b>         |

**Note:**

- (a) \*Regional demand shall be sum of peak demands of all states within the region.
- (b) F column may be prepared through distribution of total under E column on merit order basis.  
For simplicity, in the present case, E column is distributed in proportion to D column.

2.2. System studies for processing GNA requests (including additional quantum) shall be carried out as proposed below:

- a) Based on the above LGB, All India peak demand base cases with different generation patterns (like peak solar, off-peak solar etc.) for a year shall be prepared considering the following:
- States demand corresponding to peak demand scenario shall be set in base case. Substation wise load distribution within a state shall be provided/verified by respective STU. Intra-state generations (C) shall be dispatched based on inputs from respective states.
  - ISTS generation within the region (thermal portion of D) shall be dispatched based on merit order of thermal units. Outside the region thermal generation requirements on a pan India basis in ISTS (thermal

portion of E) shall be dispatched on a merit order basis among all ISTS connected generations.

- Other generations (within region) shall be dispatched as per CEA's Manual on Transmission Planning Criteria.
  - Expected transmission system and generation addition in ISTS and Intra-state (as per inputs from STUs) will be considered.
- b) Load flow including Contingency studies for ISTS shall be carried out in accordance with Manual on Transmission Planning Criteria to assess the feasibility of transferring requisite power to all the states at all India level. Based on study results and observations, detailed studies shall also be carried out at each Regional level for processing and grant of GNA (including additional quantum) to states within the region. While doing regional level studies, flexibility of obtaining of outside region GNA quantum from various regions/generation complexes may also be considered. For this, as desirable, various credible contingencies to meet the GNA requirement from different combination of generation despatches/complexes within and outside the region would be studied.
- c) In fulfilment of Regulation of 22.1 (a), in case there is a constraint observed in grant of the desired quantum of additional GNA to all states of a region, then additional GNA quantum shall be granted on pro-rata basis of requested quantum considering available transmission import capability of region from the ISTS system.
- d) Total GNA of a state shall be sum of already granted GNA and additional GNA granted. This shall be basis for further studies.



### 3. List of Formats for Connectivity and GNA

| Format name                                    | Description   |
|--|---|
| <b>A. Affidavit</b>                            |   |
| FORMAT-AFFIDAVIT                               | Affidavit in support of application for Connectivity / GNA  |
| <b>B. Connectivity</b>                         |   |
| <b>B1.Application for connectivity</b>         |   |
| FORMAT-CONN-APP-1                              | Application for Connectivity to ISTS under Regulation 4.1, 4.2, 5.6, and 5.7  |
| FORMAT-CONN-APP-2                              | Application for addition of Generation Capacity including ESS within the quantum of connectivity granted under Regulation 5.2 |
| FORMAT-CONN-TRANS-APP-3                        | Application for Transfer of Connectivity under Regulation 15  |
| FORMAT-CONN-REL-4                              | Notice for Relinquishment of Connectivity under Regulation 24   |
| FORMAT-CONN-TRANSITION-APP-5                   | Additional information for Connectivity under transition by entities covered under regulations 37.1 and 37.2                  |
| <b>B2.Intimation for grant of connectivity</b> |   |
| FORMAT-CONN-INT-1A                             | Intimation for in-principle grant of Connectivity under Regulation 7.1 and 7.2  |
| FORMAT-CONN-INT-1B                             | Intimation for details for ATS & Conn-BG2 for Connectivity under Regulation 8.3   |
| FORMAT-CONN-INT-1C                             | Intimation for final grant of Connectivity under Regulation 9   |
| FORMAT-CONN-INT-2                              | Intimation for additional generation capacity, including ESS, within the quantum of Connectivity granted under Regulation 5.2 |
| FORMAT-CONN-TRANS-INT-3                        | Intimation for Transfer of Connectivity under Regulation 15   |
| FORMAT-CONN-REL-INT-4                          | Intimation for Relinquishment of Connectivity under Regulation 24   |
| <b>B3.Connectivity Bank Guarantee</b>          |   |
| FORMAT-CONN-BG                                 | Proforma of Connectivity Bank Guarantee CONN-1/ CONN-2/ CONN-3 under Regulation 8   |

| Format name                      | Description   |
|----------------------------------|---|
| <b>B4.Status</b>                 |   |
| FORMAT-CONN-STATUS-CG            | Status as per monitoring parameters for Connectivity under Regulation 11.1  |
| FORMAT-CONN-STATUS-TS            | Status of implementation of the ATS, Network Expansion, and Terminal Bays for Connectivity granted under Regulation 11.2  |
| FORMAT-CONN-STATUS-BAY           | Status of allocation of bay(s)/Margin available at the existing or the proposed ISTS sub-stations for Connectivity under Regulation 11.5  |
| <b>B5.Sharing Agreement</b>      |   |
| FORMAT-CONN-LEAD                 | Model Agreement between the Lead Generator and other generators located in a geographically contiguous area for seeking inter-connection with the ISTS at a single connection point |
| FORMAT-CONN-SHARE                | Model Agreement between generators located in a geographically contiguous area for seeking inter-connection with the ISTS at a single connection point                              |
| <b>B6.Connectivity Agreement</b> |   |
| FORMAT-CONN-CA-5                 | Connectivity Agreement under Regulation 10.7  |
| <b>C. GNA</b>                    |   |
| <b>C1.Application</b>            |   |
| FORMAT-GNA-APP-1                 | Application for Additional Grant of GNA to STUs under Regulation 19   |
| FORMAT-GNA-APP-2                 | Application for Grant of GNA to entities other than STU under Regulation 20.1 and 20.3 to entities under Regulation 17.1(ii), (iii) and (v)   |
| FORMAT-GNA-CB-APP-3              | Application for Grant of GNA by entities other than STU under Regulation 20.2 for entities under Regulation 17.1(iv)  |
| FORMAT-GNA-APP-4                 | Application for Grant of GNA for entities covered under regulation 17.2   |
| FORMAT-GNA-TRANS-APP-5           | Application for use of GNA by other grantee(s) under regulation 23  |
| FORMAT-GNA-REL-6                 | Notice for relinquishment of GNA under Regulation 25  |
| FORMAT-GNA-INTRA-NOC             | NOC of STU for entities under Regulation 17.1(ii)   |

| Format name | Description |
|-------------|-------------|
|-------------|-------------|

|                      |                                |
|----------------------|--------------------------------|
| FORMAT-GNA-TRANS-NOC | NOC of STU for transfer of GNA |
|----------------------|--------------------------------|

### C2.Intimation

|                        |   |
|------------------------|---|
| FORMAT-GNA-INT-1       | Intimation for Grant of GNA to STUs under Regulation 22   |
| FORMAT-GNA-INT-2B      | Intimation for Final Grant of GNA under Regulation 22.2 to entities under Regulation 17.1 (ii), (iii) and (v) |
| FORMAT-GNA-CB-INT-3B   | Intimation for Final Grant of GNA under Regulation 22.2 to entities under Regulation 17.1 (iv)                |
| FORMAT-GNA-INT-4       | Intimation for Grant of GNA for entities covered under Regulation 17.2  |
| FORMAT-GNA-TRANS-INT-5 | Intimation for use of GNA by other grantee(s) under Regulation 23   |
| FORMAT-GNA-REL-INT-6   | Intimation for Relinquishment of GNA under Regulation 25  |

### D. Formats of Technical Data for Connectivity Agreement

|                  |   |
|------------------|---|
| FORMAT-CONN-TD-1 | Submission of Technical Connection data under Regulation 10.1 for RE Generator / BESS   |
| FORMAT-CONN-TD-2 | Submission of Technical Connection data under Regulation 10.1 for Thermal/ Hydro/ Nuclear Generating Stations including Pumped Storage Projects (PSP) |
| FORMAT-CONN-TD-3 | Submission of Technical Connection data under Regulation 10.1 for Bulk Consumer/Distribution Licensee   |
| FORMAT-CONN-TD-4 | Intimation of Connection details under Regulation 10.2  |

## FORMAT-AFFIDAVIT

### Notes:

The prescribed text shall be printed on a Non-Judicial Stamp Paper of minimum value of Rs. 10/- purchased in name of the applicant.

The printed document should be signed by the duly authorized signatory i.e. the same person who is signing the application.

After signing, the signed document is required to be attested by Notary and scanned in pdf format.

Instructions provided in this box shall not be printed on the Stamp Paper

### AFFIDAVIT IN SUPPORT OF APPLICATION FOR CONNECTIVITY / GNA

I ..... (Name) S/o Shri ..... (Father's name) working as ..... (Designation) in ..... (Name of the Applicant organization / entity, having its registered office at ..... (Address of the Applicant organization / entity), do solemnly affirm and say as follows:

1. I am the ..... (Designation) of/at ..... (Name of the Applicant organization / entity), the representative in the above matter and am duly authorized by the Board Resolution dated ..... of the above referred Company attached herewith to file the present application and to make this affidavit.
2. I submit that M/s ..... (Name of the Applicant organization / entity) is a central/state Government entity or an entity incorporated and registered under the Companies Act, 2013. Under the Articles of Association of the Company and in accordance with the provisions of Electricity Act, 2003/ Applicable Regulation(s) of CERC and Procedures notified thereunder, the Applicant can file the enclosed application.
3. I submit that all the details given in the enclosed Application for Grant of Connectivity / GNA are true and correct and nothing material has been concealed thereof.
4. I submit that all the documents enclosed are original or true copies of their respective originals.

5. I am aware that if at any stage any falsity / inaccuracy / incorrectness is detected in the documents / statements, the application itself or the grant of Connectivity / GNA shall be liable for rejection or revocation (as the case may be) along with all associated consequences in this regard.
6. I also agree to indemnify and keep indemnified and harmless CTU and its affiliates and their respective successors and assigns from and against any and all actions, claims, proceedings, suits and judgments, damages and losses, all costs, charges and expenses relating thereto including those arising out of any false representation or breach or failure by Applicant, to comply with any Regulatory or contractual requirements.

(Signature)

Name of the Applicant

**(To be duly attested by Notary)**

**FORMAT-CONN-APP-1****APPLICATION FOR CONNECTIVITY TO ISTS UNDER REGULATION 4.1, 4.2, 5.6,  
and 5.7**

| <b>A</b>  | <b>General</b>   |  |
|-----------|--|--|
| <b>1.</b> | <b>Name of the Applicant Organization</b>  |  |
|           |  |  |
| <b>2.</b> | <b>Address for Correspondence</b>  |  |
| <b>3.</b> | <b>State</b>   |  |
| <b>4.</b> | <b>GST Number</b>  |  |
| <b>5.</b> | <b>PAN No</b>  |  |
|           |  |  |
| <b>6.</b> | <b>Primary Contact Details</b>   |  |
|           | Primary Contact Person   |  |
|           | Designation  |  |
|           | Phone No. (mobile)   |  |
|           | E-Mail   |  |
|           | <b>Alternate Contact Details</b>   |  |
|           | Alternate Contact Person   |  |
|           | Designation  |  |
|           | Phone No. (mobile)   |  |
|           | E-Mail   |  |
|           |  |  |
| <b>7.</b> | <b>Registration number issued by the CEA Registry, as applicable</b>   |  |
|           |  |  |
| <b>8.</b> | <b>Nature of the Applicant:</b>  |  |
|           | <ul style="list-style-type: none"> <li>(i) Generating station(s), including REGS(s), without ESS</li> <li>(ii) Generating station(s), including REGS(s), without ESS through a lead generator</li> <li>(iii) Generating station(s), including REGS(s), with ESS</li> <li>(iv) Generating station(s), including REGS(s), with ESS through a lead generator</li> <li>(v) Generating station(s), including REGS(s), with ESS through a lead ESS</li> <li>(vi) Captive generating plant</li> <li>(vii) Standalone ESS</li> <li>(viii) Standalone ESS through a lead generator</li> <li>(ix) Standalone ESS through a lead ESS</li> <li>(x) Renewable Power Park developer</li> <li>(xi) REGS with an installed capacity of 5 MW and above applying for grant of Connectivity to ISTS through the electrical system of a generating station already having Connectivity to ISTS</li> <li>(xii) Standalone ESS with an installed capacity of 5 MW and</li> </ul> |  |

|              |  |  |
|--------------|--|--|
|              | above applying for grant of Connectivity to ISTS through the electrical system of a generating station already having Connectivity to ISTS |  |
| <b>9.</b>    | <b>Details of the Generation Project/ Renewable Power Park</b>   |  |
| <b>i.</b>    | Name(s) of the Generation Project  |  |
| <b>ii.</b>   | Energy Source  | (Thermal/ Hydro/ Gas/ Nuclear/ Solar/ Wind / Hybrid (RHGS) / Standalone ESS)   |
| <b>iii.</b>  | <b>Configuration in case of Hybrid (MW)</b>  | <i>for Energy Source = Hybrid</i>  |
|              | <b>Resource type</b>   | <b>Capacity (MW)</b>   |
|              | .....  |  |
|              | .....  |  |
|              | .....  |  |
| <b>iv.</b>   | <b>ESS type</b>  | (Pumped Storage/ BESS/ Others) [ <i>in case of 8. Nature of the Applicant is (iii), (iv), (v), (vii), (viii), (ix) &amp; (xii)] In case of Others applicant to mention ESS type.</i> |
|              | Details of ESS (Capacity in MW)  |  |
|              | Maximum injection (MW)   |  |
|              | Maximum drawl (MW)   |  |
|              | Time duration for injection cycle (in hours)   |  |
|              | Time duration for drawl cycle (in hours)   |  |
| <b>v.</b>    | Installed Capacity   |  |
| <b>vi.</b>   | Step-up/Connection Voltage   |  |
| <b>vii.</b>  | Nearest Village / Town   |  |
| <b>viii.</b> | District   |  |
| <b>ix.</b>   | State  |  |
| <b>x.</b>    | Latitude   |  |
| <b>xi.</b>   | Longitude  |  |
| <b>10.</b>   | <b>Planned Capacity with expected time line for completion of the Generation Project/ Renewable Power Park (Stage wise)</b>                |  |
|              |  |  |
|              | <b>Installed capacity (MW) &amp; Source</b>  | <b>Commissioning schedule</b>  |
|              | .....  |  |
|              | .....  |  |
|              | .....  |  |

|               |  |   |
|---------------|--|---|
| <b>11.</b>    | <b>Details of Bank Account for Refund of fee</b>   |   |
|               | Beneficiary Account Number   |   |
|               | Beneficiary Account Name   |   |
|               | IFSC Code  |   |
|               | Bank Name  |   |
|               | Branch Name  |   |
| <b>B.</b>     | <b>Details of Connectivity:</b>  |   |
| <b>12.</b>    | <b>Whether present application is for enhancement of quantum of Connectivity already granted</b> |   |
| <b>12(a)</b>  | <b>If Yes, following details to be provided:</b>   |   |
|               | Additional Capacity (<50MW) for which connectivity is required                                   | (should be less than or equal to total installed capacity at Sl. no. 10 and should be less than 50 MW)  |
|               | Date from which additional connectivity is required  |   |
|               | Application number already granted Connectivity  |   |
|               | Connectivity intimation number and date  |   |
|               | Capacity (MW) for which cumulative connectivity is granted                                       |   |
|               | ISTS sub-station and bay at which Connectivity is granted  |   |
|               | Date from which original connectivity is granted   |   |
| <b>12 (b)</b> | <b>If No, following details to be provided</b>   |   |
|               | Capacity (MW) for which connectivity is required   | [should be less than or equal to total installed capacity at Sl. no. 10 and should not be less than 50 MW in all cases except "Nature of applicant" at sl. No. 8 as (x), (xi), (xii)] [For "Nature of applicant" at sl. No. 8 as (xi), (xii) the same should not be less than 5 MW] |
|               | Date from which connectivity is required   |   |
|               | <b>Details of Nearest 765/400/220/132 kV ISTS sub-stations, in case information is available</b> |   |
|               | Voltage levels available   |   |
|               | Owner  |   |



|               |  |  |
|---------------|--|--|
|               | Distance (Km)  |  |
|               | Sub Station  |  |
|               |  |  |
| <b>13.</b>    | <b>Terminal bays for DTL at ISTS end to be constructed under ISTS</b>  |  |
|               |  |  |
| <b>14.</b>    | <b>Whether applied under Regulation 5.6 (sharing of terminal bay or the switchyard and the dedicated transmission lines, if any)</b>                 |  |
|               |  |  |
| <b>14 (a)</b> | <b>If Yes, following details to be provided:</b>   |  |
|               | Terminal bay of ISTS sub-station to be shared  |  |
|               | Switchyard of a generating station having Connectivity to ISTS   |  |
|               | Name of grantee with whom sharing is proposed  |  |
|               | Connectivity Application number of grantee   |  |
|               | ISTS substation at which grantee is connected  |  |
|               | <b>Details of DTL of grantee</b>   |  |
|               | Line length  |  |
|               | Conductor type including bundling  |  |
|               | Thermal Capacity   |  |
|               | Voltage level  |  |
|               |  |  |
| <b>15.</b>    | <b>Whether connectivity applied under Regulation 5.7 (Two or more applicant sharing the common Dedicated Transmission Lines and terminal bay(s))</b> |  |
| <b>15(a)</b>  | <b>If Yes, following details to be provided:</b>   |  |
|               | Name of applicant with whom sharing is proposed  |  |
|               | Connectivity Application number of other applicant, if already applied   |  |
|               |  |  |
| <b>C</b>      | <b>Details of Documents</b>  |  |
|               |  |  |
| 1.            | Notarised Affidavit as FORMAT-AFFIDAVIT  |  |
| 2.            | Certified true copy of Board Resolution authorizing a designated person for filing of application, where applicant is a company                      |  |
| 3.            | Certificate issued by the CEA regarding CEA registry   |  |

|    |  |
|----|--|
|    | Attachment   |
| 4. | Site(s) identification   |
| 5. | Authorization by the Central Government or State Government as Renewable Power Park Developer (Mandatory if Nature of the Applicant is “(x) Renewable Power Park developer” at Sl.No 8)<br>Attachment (Mandatory and to be visible if Nature of the Applicant is “(x) Renewable Power Park developer” at Sl.No 8)  |
| 6. | Agreement for sharing the dedicated transmission lines and terminal bay(s) in case of the Lead Generator or Lead ESS as <b>per FORMAT-CONN-LEAD</b> (Mandatory if Nature of the Applicant is (ii), (iv), (v), (viii), (ix) & (xii) under Sl. No.8)   |
| 7. | In case of the Applicant covered under Regulation 5.6, Agreement between the applicant and the Connectivity grantee or the generating station having Connectivity to ISTS, for sharing the terminal bay(s) or the switchyard, as the case may be, and the dedicated transmission lines as per <b>FORMAT-CONN-SHARE</b> (Mandatory and to be visible if applied under if yes at Sl. No. 14) |
| 8. | In case of Applicant covered under Regulation 5.7, Agreement between the Applicant(s) for sharing the terminal bay and the dedicated transmission lines as per <b>FORMAT-CONN-SHARE</b> (Mandatory and to be visible if applied under if yes at Sl. No. 15)<br>Attachment  |
| 9. | Any other document   |

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations. I submit that all the details given in the Application for Connectivity to ISTS are true and correct and nothing material has been concealed thereof. I also submit that the scanned documents attached are true copies of their respective originals.

Submission Date:

Submission Time:

Digital Signature: (should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application)

Application fee should be Rs. 5.90 Lakh including GST @ 18%

**FORMAT-CONN-APP-2****APPLICATION FOR ADDITION OF GENERATION CAPACITY INCLUDING ESS WITHIN  
THE QUANTUM OF CONNECTIVITY GRANTED UNDER REGULATION 5.2**

|           |   |  |
|-----------|---|--|
| <b>A.</b> | <b>General</b>  |  |
| <b>1.</b> | <b>Name of the Applicant Organization</b>   |  |
|           |   |  |
| <b>2.</b> | <b>Address for Correspondence</b>   |  |
| <b>3.</b> | <b>State</b>  |  |
| <b>4.</b> | <b>GST Number</b>   |  |
| <b>5.</b> | <b>PAN No</b>   |  |
|           |   |  |
| <b>6.</b> | <b>Primary Contact Details</b>  |  |
|           | Primary Contact Person  |  |
|           | Designation   |  |
|           | Phone No. (mobile)  |  |
|           | E-Mail  |  |
|           | <b>Alternate Contact Details</b>  |  |
|           | Alternate Contact Person  |  |
|           | Designation   |  |
|           | Phone No.   |  |
|           | E-Mail  |  |
|           |   |  |
| <b>7.</b> | <b>Registration number issued by the<br/>CEA Registry, as applicable</b>  |  |
|           |   |  |
| <b>8.</b> | <b>Nature of the Applicant:</b>   |  |
|           | (i) Generating station(s), including REGS(s), without ESS   |  |
|           | (ii) Generating station(s), including REGS(s), without ESS through a lead generator   |  |
|           | (iii) Generating station(s), including REGS(s), with ESS  |  |
|           | (iv) Generating station(s), including REGS(s), with ESS through a lead generator  |  |
|           | (v) Generating station(s), including REGS(s), with ESS through a lead ESS   |  |
|           | (vi) Captive generating plant   |  |
|           | (vii) Standalone ESS  |  |
|           | (viii) Standalone ESS through a lead generator  |  |
|           | (ix) Standalone ESS through a lead ESS  |  |
|           | (x) Renewable Power Park developer  |  |
|           | (xi) REGS with an installed capacity of 5 MW and above applying for grant of Connectivity to ISTS through the electrical system of a generating station already having Connectivity to ISTS |  |
|           | (xii) Standalone ESS with an installed capacity of 5 MW and above applying for grant of Connectivity to ISTS through the electrical system  |  |

|              |   |  |
|--------------|---|--|
|              | of a generating station already having Connectivity to ISTS   |  |
| <b>9.</b>    | <b>Details of the Generation Project/Renewable Power Park</b> |  |
| <b>i.</b>    | Name(s) of the Generation Project                             |  |
| <b>ii.</b>   | Energy Source   | (Thermal/ Hydro/ Gas/ Nuclear/ Solar/ Wind / Hybrid (RHGS) / Standalone ESS)   |
| <b>iii.</b>  | <b>Configuration in case of Hybrid (MW)</b>                   | <i>for Energy Source = Hybrid</i>  |
|              | <b>Resource type</b>  | <b>Capacity (MW)</b>   |
|              | .....   |  |
|              | .....   |  |
|              | .....   |  |
| <b>iv.</b>   | <b>ESS Type</b>   | (Pumped Storage/ BESS/ Others) [in case of 8. Nature of the Applicant is (iii), (iv), (v), (vii), (viii), (ix) & (xii)]<br><i>In case of others applicant to mention ESS type.</i> |
|              | Details of ESS (Capacity in MW)                               |  |
|              | Maximum injection (MW)  |  |
|              | Maximum drawl (MW)  |  |
|              | Time duration for injection cycle (in hours)                  |  |
|              | Time duration for drawl cycle (in hours)                      |  |
| <b>v.</b>    | Installed Capacity  |  |
| <b>vi.</b>   | Step-up/Connection Voltage                                    |  |
| <b>vii.</b>  | Nearest Village / Town  |  |
| <b>viii.</b> | District  |  |
| <b>ix.</b>   | State   |  |
| <b>x.</b>    | Latitude  |  |
| <b>xi.</b>   | Longitude   |  |
|              |   |  |
| <b>10.</b>   | <b>Detail of earlier connectivity:</b>                        |  |
|              | Application number already granted Connectivity               |  |
|              | Connectivity intimation number and date                       |  |
|              | Capacity (MW) for which cumulative connectivity is granted    |  |
|              | <b>Resource type in Existing Connectivity</b>                 | <b>Capacity (MW)</b>   |
|              | .....   |  |
|              | .....   |  |
|              | .....   |  |
|              | ISTS sub-station Name at which Connectivity is granted        |  |

|            |  |  |
|------------|--|--|
|            | ISTS sub-station bay voltage at which Connectivity is granted  |  |
|            | Date from which original Connectivity is granted   |  |
|            |  |  |
| <b>11.</b> | <b>Planned Additional Capacity with expected time line for completion of the Generation Project/ Renewable Power Park (Stage wise)</b> |  |
|            |  |  |
|            | <b>Quantum of additional generation capacity (MW)</b>  | <b>Date from which additional generation capacity (MW) will be added</b> |
|            | .....  |  |
| <b>B</b>   | <b>Details of Documents</b>  |  |
|            |  |  |
| 1.         | Notarised Affidavit as FORMAT-AFFIDAVIT  |  |
| 2.         | Certified true copy of Board Resolution authorizing a designated person for filing of application, where applicant is a company        |  |
| 3.         | Certificate issued by the CEA regarding CEA registry   |  |
| 4.         | Any other document   |  |

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations. I submit that all the details given in the Application for addition of generation capacity including ESS within the quantum of connectivity granted are true and correct and nothing material has been concealed thereof. I also submit that the scanned documents attached are true copies of their respective originals.

Submission Date:

Submission Time:

Digital Signature: (should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application)

**FORMAT-CONN-TRANS-APP-3****APPLICATION FOR TRANSFER OF CONNECTIVITY UNDER REGULATION 15**

|           |   |  |
|-----------|---|--|
| <b>1.</b> | <b>Name of the applicant seeking the transfer of Connectivity (Transferee)</b>  |  |
| <b>2.</b> | <b>Address for Correspondence</b>   |  |
| <b>3.</b> | <b>Contact Details</b>  |  |
|           | Primary Contact Person  |  |
|           | Designation   |  |
|           | Phone No. (mobile)  |  |
|           | E-Mail  |  |
|           | Name of Alternate Contact Person  |  |
|           | Designation   |  |
|           | Phone No.   |  |
|           | E-Mail  |  |
| <b>4.</b> | <b>Name of the original Connectivity Grantee (Transferrer)</b>  |  |
| <b>5.</b> | <b>Details of Connectivity originally Granted:</b><br>a) Intimation No. & date:<br>b) Ref. Application No. & date:<br>c) Installed Capacity (MW):<br>d) Capacity (MW) for which Connectivity granted:<br>e) ISTS sub-station and bay at which Connectivity granted:<br>f) Date from which connectivity granted: |  |
| <b>6.</b> | <b>Quantum of Connectivity to be transferred (MW)</b>   |  |
| <b>7.</b> | <b>Date of COD of part/full capacity to be transferred</b>  |  |
| <b>8.</b> | <b>Shareholding of the applicant's company in the acquired company (must be 51% or more)</b>  |  |

|           |   |  |
|-----------|---|--|
| <b>9.</b> | <b>Details of Documents enclosed with the Application</b>   |  |
|           | COD Certificate corresponding to the quantum of Connectivity to be transferred.<br>Documents certifying stake of transferee in the company. |  |

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application for Transfer of Connectivity are true and correct and nothing material has been concealed thereof.

I also submit that the documents attached are scanned/true copies of their respective originals

I submit that the transferee shall undertake all regulatory, operational and commercial obligations, including the past liabilities of transferrer if any, in following the provisions of the Indian Electricity Grid Code and other regulations of the Commission, such as grid security, metering, scheduling and dispatch, payment of transmission charges, deviation charges, congestion and other charges etc.

I submit that the transferee shall also keep the CTUIL indemnified at all times and shall undertake to indemnify, defend and keep the CTUIL harmless from suits, recoveries, costs and expenses, court costs, attorney fees, and; all other obligations by or to third parties, arising out of or resulting from the above transfer.

Submission Date:

Submission Time:

Digital Signature:

*[should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application]*

**FORMAT-CONN-REL-4****NOTICE FOR RELINQUISHMENT OF CONNECTIVITY UNDER REGULATION 24**

- 1. Name of the Applicant :**
- 2. Address of correspondence :**
- 3. Contact Details :**
  - Name of Primary Contact Person :
  - Designation :
  - Phone No. :
  - E-Mail :
  - Name of Alternate Contact Person :
  - Designation :
  - Phone No. :
  - E-Mail :
- 4. Nature of applicant:**
  - i. Generating station(s), including REGS(s), without ESS
  - ii. Generating station(s), including REGS(s), without ESS through a lead generator
  - iii. Generating station(s), including REGS(s), with ESS
  - iv. Generating station(s), including REGS(s), with ESS through a lead generator
  - v. Generating station(s), including REGS(s), with ESS through a lead ESS
  - vi. Captive generating plant
  - vii. Standalone ESS
  - viii. Standalone ESS through a lead generator
  - ix. Standalone ESS through a lead ESS
  - x. Renewable Power Park developer
  - xi. Renewable Energy generating station or standalone ESS through the electrical system of generating station or any entity already having Connectivity to ISTS
- 5. Detail of earlier connectivity:**



- i. Ref. Application No. & date:
- ii. CTU Intimations No. & date (if applicable):
- iii. Capacity (MW) for which connectivity is originally granted/ enhanced:
- iv. ISTS sub-station and bay no. at which Connectivity is granted:
- v. Date from which connectivity/enhancement in Connectivity is granted:

**6. Details for relinquishment of Connectivity:**

- i. Quantum (MW) of Connectivity to be relinquished :
- ii. Date from Which Connectivity relinquished :

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, I shall have only one opportunity to rectify the deficiencies within the stipulated time period (as per Regulations/Procedure) where after the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Submission Time:

Digital Signature:

*[should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application]*

**FORMAT-CONN-TRANSITION-APP-5**

**ADDITIONAL INFORMATION FOR CONNECTIVITY UNDER TRANSITION BY  
ENTITIES COVERED UNDER REGULATIONS 37.1 AND 37.2**

1. **Old Application Number** :
2. **Old Application Date** :
3. **Name of the Applicant** :
4. **Address of correspondence** :
5. **Contact Details** :

**Primary Contact Details**

- Primary Contact Person Name :
- Designation :
- Phone No. (Mobile) :
- E-Mail :

**Alternate Contact Details**

- Alternate Contact Person Name :
- Designation :
- Phone No. :
- E-Mail :

**6. Nature of applicant:**

- i. Generating station(s), including REGS(s), without ESS
- ii. Generating station(s), including REGS(s), without ESS through a lead generator
- iii. Generating station(s), including REGS(s), with ESS
- iv. Generating station(s), including REGS(s), with ESS through a lead generator
- v. Generating station(s), including REGS(s), with ESS through a lead ESS

- vi. Captive generating plant
- vii. Standalone ESS
- viii. Standalone ESS through a lead generator
- ix. Standalone ESS through a lead ESS
- x. Renewable Power Park developer
- xi. Renewable Energy generating station or standalone ESS through the electrical system of generating station or any entity already having Connectivity to ISTS

**7. Energy Source:**

**8. Details for Connectivity under General Network Access (GNA):**

- i. Quantum (MW) for which Connectivity required:  
*(Less than or equal to Connectivity applied/granted earlier)*
- ii. Date from which Connectivity required:
- iii. Details of nearest 765/400/220/132 kV sub-stations, in case information is available:  
*Voltage levels available:*  
*Owner:*  
*Distance (km):*
- iv. Terminal bays at ISTS end to be constructed under ISTS: Yes or No

**9. Details of Documents to be enclosed with the Application as applicable**

- i. Notarized affidavit as per **FORMAT-AFFIDAVIT** of the Detailed Procedure for Connectivity and GNA to ISTS.
- ii. Proof of payment of application fee
- iii. Certified true copy of Board Resolution authorizing a designated person for filing of application, where applicant is a company
- iv. Registration Number along with certificate issued by the CEA Registry

v. Copy of Authorization by the Central Government or State Government as Renewable Power Park Developer

vi. Copy of Old Application

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application for Connectivity are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Signature:

**FORMAT-CONN-INT-1A**

| <b>INTIMATION FOR IN-PRINCIPLE GRANT OF CONNECTIVITY UNDER REGULATIONS 7.1 and 7.2</b> |   |  |
|--|---|--|
| <b>A General</b>   |   |  |
| <b>1.</b>  | Intimation No                                     | : CTU/Region/Conn-INT-1A/Application no. |
|  | Date  | :  |
| <b>2.</b>  | Ref. Application No.                              | :  |
|  | Date  | :  |
| <b>3.</b>  | Name of the Applicant                             | :  |
| <b>4.</b>  | Address for Correspondence                        | :  |
| <b>5.</b>  | Location of the Generating Station                | :  |
|  | Latitude  | :  |
|  | Longitude   | :  |
|  | State   | :  |
| <b>6.</b>  | Nature of the Applicant                           | :  |
| <b>B Connectivity Details</b>  |   |  |
| <b>7</b>   | <b>ATS/Network Expansion Required</b>             | Yes/No                                   |
| <b>7a</b>  | <b>Associated Transmission System (ATS)</b>       | : Including broad design features        |
|  | Scheme details                                    | :  |
|  | Scheduled commissioning date of ATS               | :  |
|  | Estimated Cost of ATS                             | :  |
| <b>7b</b>  | <b>Network expansion system (NES)</b>             | :  |
|  | Scheme details                                    | :  |
|  | Scheduled commissioning date of NES               | :  |
| <b>8</b>   | <b>Connectivity substation details</b>            | :  |
|  | ISTS sub-station at which connectivity is granted | :  |
|  | Voltage level of allocated terminal bay           | :  |

|  |   |   |  |
|--|---|---|--|
|  | Terminal bay at ISTS end already available            |   | Yes/No                                 |
|  | Terminal bay at ISTS end to be constructed under ISTS | : | Yes/No                                 |
|  | Bay no. and SLD                                       | : |  |
|  | Capacity (MW) for which connectivity is granted       |   |  |
|  | Likely Start date of Connectivity                     |   |  |
| 9  | <b>Dedicated Transmission Line (DTL)</b>              | : | Including broad design features of DTL |
| <b>C Bank Guarantees to be submitted</b> |   |   |  |
|  | <b>Amount of Conn-BG1</b>                             |   | Rs. 50 lakhs                           |
|  | <b>Amount of Conn-BG2</b>                             |   |  |
|  | <b>Amount of Conn-BG3 @2 lakh/MW</b>                  | : |  |

**Note: Connectivity is granted to the ISTS with following:**

1. Conn-BG1, Conn-BG2 and Conn-BG3, as applicable, shall be furnished within 1 (one) month of intimation of respective grant of Connectivity, failing which the application for Connectivity shall be closed, and the application fee shall be forfeited and applicable Conn-BGs would be encashed as per the Regulation. No extension of time shall be granted to furnish the requisite bank guarantee, and in such case the Connectivity shall be revoked under intimation to the Connectivity grantee/applicant.
2. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
  - v) CEA (Grid Standard) Regulations, 2010;

- vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
- vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
- viii) CEA (Installation and Operation of Meters) Regulations, 2006;
- ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

3. The applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and; all other obligations by or to third parties, arising out of or resulting from the Connectivity.
4. Connectivity grantee shall furnish progress of the monitoring parameters on quarterly basis in the format given at **FORMAT-CONN-STATUS-CG** by the last day of each quarter through the online facility developed on the CTU website. Procedure for online submission of status report of connectivity grantees is available on the CTU website.
5. The dedicated line including terminal line bay at generator end shall be developed by the grantee at their own cost.
6. Considering Right-of-Way near substation for termination of number of 400/ 220kV dedicated transmission lines, the connectivity grantees may coordinate among themselves for implementation of 400/ 220kV lines (as applicable) through multi circuit tower near the substation entry for about 2-3 kms stretches.
7. Depending on the topology and transmission system requirement, CTU may plan the Connectivity of any generating station(s) at terminal bay of an ISTS substation

already allocated to another Connectivity applicant/grantee (such as through Loop-in Loop-out (LILO) of DTL) or switchyard of a generating station having Connectivity to ISTS for connection and injection of power. In such cases, an agreement (model agreement as per **FORMAT-CONN-SHARE**) shall be duly signed within one (1) month of the intimation regarding the sharing of DTL and/or terminal bay between the applicants/grantee for sharing the terminal bay / switchyard / dedicated transmission line, failing which the intimations for grant of Connectivity of applicants / grantee shall be liable for revocation.

8. Operation and maintenance expenses as well as transmission losses from the generator pooling station up to the ISTS sub-station shall be shared in proportion to the capacity of the renewable energy generating stations sharing the transmission infrastructure.
9. Connectivity grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007 & amendment(s) thereof, including the provisions of LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of "Connectivity Agreement". In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.
10. Grantee shall have to inform likely date of synchronization, likely quantum and period of injection of infirm power before being put into commercial operation to the SLDC/RLDC concerned at least one month in advance and obtain their concurrence for the same.
11. The Connectivity grantee shall furnish certificate issued by Electrical Inspectorate of CEA under Regulation 43 of the CEA (Measures relating to Safety and Electric Supply) Regulations, 2010 for the dedicated transmission line(s) and generator pooling station (s) within 10 days of receipt of same from CEA.



12. As per IEGC and CERC Order in Petition No: 420/MP/2014, Wind / Solar Generators are required to provide data acquisition system facility for transfer of data and information to concerned SLDC and RLDC.
13. Grantee shall provide details of Special Protection Scheme (SPS), if required, integrated into their system. In that event, details of SPS and its setting shall be worked out by the Grantee in consultation with respective RLDC and RPC.
14. Connectivity grantee shall provide Fibre Optic based communication system comprising OPGW cable (having minimum 12 Fibers) & hardware fittings for the dedicated transmission line and with FOTE (STM-16) terminal equipment, FODP, and approach cables at the Generating station. At ISTS station, the OPGW shall be terminated in Junction box to be mounted at Terminal Gantry by the Connectivity grantee. The FOTE equipment, FODP and approach cable at ISTS station are to be provided by the bay owner, however, the grantee shall provide all necessary support to bay owner for successful commissioning of the communication system. The communication system shall facilitate telemetry data communication, voice communication and tele-protection. Wherever transmission line is routed through multi-circuit towers, an OPGW of 48 Fibers shall be considered in Multi-Circuit Portion. Further, the Connectivity grantee also needs to provide Phasor Measurement Units (PMU) at the generating station.
15. Applicant to provide Next Generation Firewall as per the specification/ features uploaded at CTU website.

Place:

Name:

Date:

Designation:

---

---

**Acceptance of Intimation by applicant under Regulation 7.2**

*(to be submitted within 30 days of grant of intimation)*

We hereby unequivocally accept the grant of Connectivity issued vide CTU intimation no. ----- dated ---- --.

Place:

Name:

Date:

Designation:

---

---

**FORMAT-CONN-INT-1B**

| <b>INTIMATION FOR DETAILS FOR ATS &amp; CONN-BG2 FOR CONNECTIVITY UNDER REGULATION 8.3</b> |   |   |  |
|--|---|---|--|
| <b>A General</b>   |   |   |  |
| <b>1.</b>  | Intimation No.  | : | CTU/Region/Conn-INT-1B/Application no. |
|  | Date  | : |  |
| <b>2.</b>  | Ref. Application No.  | : |  |
|  | Date  | : |  |
| <b>3.</b>  | Name of the Applicant   | : |  |
| <b>B Connectivity Details</b>  |   |   |  |
| <b>4.</b>  | <b>Details for Connectivity granted</b>                           |   |  |
| <b>4a</b>  | ISTS sub-station at which connectivity is granted                 | : |  |
| <b>4b</b>  | Bay no. and SLD (in case of existing substation)                  | : |  |
| <b>4c</b>  | Scheduled date of commercial operation of bay at ISTS end         | : |  |
| <b>4d</b>  | <b>Associated Transmission System (ATS)</b>                       | : | Including broad design features of DTL |
|  | Scheduled commissioning date of ATS                               | : |  |
| <b>4e</b>  | <b>Network expansion system (NES)</b>                             | : |  |
|  | Scheduled commissioning date of NES                               | : |  |
| <b>4f</b>  | Firm date of start of connectivity                                | : |  |
| <b>C Bank Guarantee to be submitted</b>  |   |   |  |
| <b>5.</b>  | <b>Conn-BG 2 to be furnished towards ATS and terminal bays(s)</b> | : |  |

**Note: Connectivity is granted to the ISTS with following:**

- Conn-BG1, Conn-BG2 and Conn-BG3, as applicable, shall be furnished within 1 (one) month of intimation of respective grant of Connectivity, failing which the application for Connectivity shall be closed, and the application fee shall be forfeited and applicable Conn-BGs would be encashed as per the Regulation. No extension of time shall be granted to furnish the requisite bank guarantee, and in such case the Connectivity shall be revoked under intimation to the Connectivity grantee/applicant.
- The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - Electricity Act, 2003;

- ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
- iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
- iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
- v) CEA (Grid Standard) Regulations, 2010;
- vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
- vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
- viii) CEA (Installation and Operation of Meters) Regulations, 2006;
- ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

3. The applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and; all other obligations by or to third parties, arising out of or resulting from the Connectivity.
4. Connectivity grantee shall furnish progress of the monitoring parameters on quarterly basis in the format given at **FORMAT-CONN-STATUS-CG** by the last day of each quarter through the online facility developed on the CTU website. Procedure for online submission of status report of connectivity grantees is available on the CTU website.

5. The dedicated line including terminal line bay at generator end shall be developed by the grantee at their own cost.
6. Considering Right-of-Way near substation for termination of number of 400/ 220kV dedicated transmission lines, the connectivity grantees may coordinate among themselves for implementation of 400/ 220kV lines (as applicable) through multi circuit tower near the substation entry for about 2-3 kms stretches.
7. Depending on the topology and transmission system requirement, CTU may plan the Connectivity of any generating station(s) at terminal bay of an ISTS substation already allocated to another Connectivity applicant/grantee (such as through Loop-in Loop-out (LILO) of DTL) or switchyard of a generating station having Connectivity to ISTS for connection and injection of power. In such cases, an agreement (model agreement as per **FORMAT-CONN-SHARE**) shall be duly signed within one (1) month of the intimation regarding the sharing of DTL and/or terminal bay between the applicants/grantee for sharing the terminal bay / switchyard / dedicated transmission line, failing which the intimations for grant of Connectivity of applicants / grantee shall be liable for revocation.
8. Operation and maintenance expenses as well as transmission losses from the generator pooling station up to the ISTS sub-station shall be shared in proportion to the capacity of the renewable energy generating stations sharing the transmission infrastructure.
9. Connectivity grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007 & amendment(s) thereof, including the provisions of LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of "Connectivity Agreement". In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.
10. Grantee shall have to inform likely date of synchronization, likely quantum and period of injection of infirm power before being put into commercial operation to the

SLDC/RLDC concerned at least one month in advance and obtain their concurrence for the same.

11. The Connectivity grantee shall furnish certificate issued by Electrical Inspectorate of CEA under Regulation 43 of the CEA (Measures relating to Safety and Electric Supply) Regulations, 2010 for the dedicated transmission line(s) and generator pooling station (s) within 10 days of receipt of same from CEA.
12. As per IEGC and CERC Order in Petition No: 420/MP/2014, Wind / Solar Generators are required to provide data acquisition system facility for transfer of data and information to concerned SLDC and RLDC.
13. Grantee shall provide details of Special Protection Scheme (SPS), if required, integrated into their system. In that event, details of SPS and its setting shall be worked out by the Grantee in consultation with respective RLDC and RPC.
14. Connectivity grantee shall provide Fibre Optic based communication system comprising OPGW cable (having minimum 12 Fibers) & hardware fittings for the dedicated transmission line and with FOTE (STM-16) terminal equipment, FODP, and approach cables at the Generating station. At ISTS station, the OPGW shall be terminated in Junction box to be mounted at Terminal Gantry by the Connectivity grantee. The FOTE equipment, FODP and approach cable at ISTS station are to be provided by the bay owner, however, the grantee shall provide all necessary support to bay owner for successful commissioning of the communication system. The communication system shall facilitate telemetry data communication, voice communication and tele-protection. Wherever transmission line is routed through multi-circuit towers, an OPGW of 48 Fibres shall be considered in Multi-Circuit Portion. Further, the Connectivity grantee also needs to provide Phasor Measurement Units (PMU) at the generating station.
15. Applicant to provide Next Generation Firewall as per the specification/ features uploaded at CTU website.

Place:

Name:

Date:

Designation:

**FORMAT-CONN-INT-1C**

| <b>INTIMATION FOR FINAL GRANT OF CONNECTIVITY UNDER<br/>REGULATION 9</b> |  |   |  |
|--|--|---|--|
| <b>A General</b>   |  |   |  |
| <b>1.</b>  | Intimation No.   | : | CTU/Region/Conn-INT-1C/Application no. |
|  | Date   | : |  |
| <b>2.</b>  | Ref. Application No.   | : |  |
|  | Date   | : |  |
| <b>3.</b>  | Name of the Applicant  | : |  |
| <b>4.</b>  | Address for Correspondence   | : |  |
| <b>5.</b>  | Location of the Generating Station   | : |  |
|  | Latitude   | : |  |
|  | Longitude  | : |  |
|  | State  | : |  |
| <b>6.</b>  | Nature of the Applicant  | : |  |
| <b>B Connectivity Details</b>  |  |   |  |
| <b>7.</b>  | <b>Details for Connectivity granted Quantum</b>  |   |  |
| <b>7a</b>  | Capacity (MW) for which connectivity is granted  | : |  |
| <b>7b</b>  | ISTS sub-station at which connectivity is granted  | : |  |
| <b>8</b>   | Status of ISTS substation  | : | Existing/ Under-Construction/ Proposed |
| <b>8a</b>  | In case of existing substation: <ul style="list-style-type: none"> <li>Terminal bay no.</li> <li>SLD</li> </ul>  |   |  |
| <b>8b</b>  | In case of under construction substation: <ul style="list-style-type: none"> <li>Latitude (any point in substation boundary)</li> <li>Longitude (any point in substation boundary)</li> <li>Scheduled date of commercial operation of substation</li> <li>Terminal bay no.</li> <li>SLD</li> </ul> |   |  |
| <b>8c</b>  | In case of proposed substation: <ul style="list-style-type: none"> <li>Tentative Latitude (any point in substation boundary)</li> <li>Tentative Longitude (any point in substation boundary)</li> <li>Expected scheduled date of commercial operation of substation</li> </ul>                     |   |  |

|                                 |  |   |  |
|---------------------------------|--|---|--|
| <b>9</b>                        | Scheduled date of commercial operation of bay at ISTS end, if applicable |   |  |
| <b>10</b>                       | <b>Associated Transmission System (ATS)</b>                              | : | Including broad design features of DTL |
|                                 | Scheduled commissioning date of ATS                                      |   |  |
| <b>11</b>                       | <b>Network expansion system (NES)</b>                                    |   |  |
|                                 | Scheduled commissioning date of NES                                      | : |  |
| <b>12</b>                       | Firm date of start of connectivity                                       |   |  |
| <b>C Bank Guarantee Details</b> |  |   |  |
| <b>13</b>                       | <b>Amount of BGs submitted</b>   |   |  |
| <b>13a</b>                      | <b>Conn-BG1</b>  |   |  |
| <b>13b</b>                      | <b>Conn-BG2</b>  |   |  |
| <b>13c</b>                      | <b>Conn-BG3</b>  |   |  |

**Note: Connectivity is granted to the ISTS with following:**

1. Conn-BG1, Conn-BG2 and Conn-BG3, as applicable, shall be furnished within 1 (one) month of intimation of respective grant of Connectivity, failing which the application for Connectivity shall be closed, and the application fee shall be forfeited and applicable Conn-BGs would be encashed as per the Regulation. No extension of time shall be granted to furnish the requisite bank guarantee, and in such case the Connectivity shall be revoked under intimation to the Connectivity grantee/applicant.
2. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
  - v) CEA (Grid Standard) Regulations, 2010;
  - vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
  - vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;

- viii) CEA (Installation and Operation of Meters) Regulations, 2006;
- ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

3. The applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and; all other obligations by or to third parties, arising out of or resulting from the Connectivity.
4. Connectivity grantee shall furnish progress of the monitoring parameters on quarterly basis in the format given at **FORMAT-CONN-STATUS-CG** by the last day of each quarter through the online facility developed on the CTU website. Procedure for online submission of status report of connectivity grantees is available on the CTU website.
5. The dedicated line including terminal line bay at generator end shall be developed by the grantee at their own cost.
6. Considering Right-of-Way near substation for termination of number of 400/ 220kV dedicated transmission lines, the connectivity grantees may coordinate among themselves for implementation of 400/ 220kV lines (as applicable) through multi circuit tower near the substation entry for about 2-3 kms stretches.
7. Depending on the topology and transmission system requirement, CTU may plan the Connectivity of any generating station(s) at terminal bay of an ISTS substation already allocated to another Connectivity applicant/grantee (such as through Loop-in Loop-out (LILO) of DTL) or switchyard of a generating station having Connectivity to ISTS for connection and injection of power. In such cases, an



agreement (model agreement as per **FORMAT-CONN-SHARE**) shall be duly signed within one (1) month of the intimation regarding the sharing of DTL and/or terminal bay between the applicants/grantee for sharing the terminal bay / switchyard / dedicated transmission line, failing which the intimations for grant of Connectivity of applicants / grantee shall be liable for revocation.

8. Operation and maintenance expenses as well as transmission losses from the generator pooling station up to the ISTS sub-station shall be shared in proportion to the capacity of the renewable energy generating stations sharing the transmission infrastructure.
9. Connectivity grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007 & amendment(s) thereof, including the provisions of LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of "Connectivity Agreement". In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.
10. Grantee shall have to inform likely date of synchronization, likely quantum and period of injection of infirm power before being put into commercial operation to the SLDC/RLDC concerned at least one month in advance and obtain their concurrence for the same.
11. The Connectivity grantee shall furnish certificate issued by Electrical Inspectorate of CEA under Regulation 43 of the CEA (Measures relating to Safety and Electric Supply) Regulations, 2010 for the dedicated transmission line(s) and generator pooling station (s) within 10 days of receipt of same from CEA.
12. As per IEGC and CERC Order in Petition No: 420/MP/2014, Wind / Solar Generators are required to provide data acquisition system facility for transfer of data and information to concerned SLDC and RLDC.

13. Grantee shall provide details of Special Protection Scheme (SPS), if required, integrated into their system. In that event, details of SPS and its setting shall be worked out by the Grantee in consultation with respective RLDC and RPC.
14. Connectivity grantee shall provide Fibre Optic based communication system comprising OPGW cable (having minimum 12 Fibers) & hardware fittings for the dedicated transmission line and with FOTE (STM-16) terminal equipment, FODP, and approach cables at the Generating station. At ISTS station, the OPGW shall be terminated in Junction box to be mounted at Terminal Gantry by the Connectivity grantee. The FOTE equipment, FODP and approach cable at ISTS station are to be provided by the bay owner, however, the grantee shall provide all necessary support to bay owner for successful commissioning of the communication system. The communication system shall facilitate telemetry data communication, voice communication and tele-protection. Wherever transmission line is routed through multi-circuit towers, an OPGW of 48 Fibers shall be considered in Multi-Circuit Portion. Further, the Connectivity grantee also needs to provide Phasor Measurement Units (PMU) at the generating station.
15. Applicant to provide Next Generation Firewall as per the specification/ features uploaded at CTU website.

Place:

Name:

Date:

Designation:

**FORMAT-CONN-INT-2****INTIMATION FOR ADDITIONAL GENERATION CAPACITY, INCLUDING ESS,  
WITHIN THE QUANTUM OF CONNECTIVITY GRANTED UNDER REGULATION****5.2**

| <b>A General</b>              |  |   |                                       |
|-------------------------------|--|---|---------------------------------------|
| <b>1.</b>                     | Intimation No.   | : | CTU/Region/Conn-INT-2/Application no. |
|                               | Date   | : |                                       |
| <b>2.</b>                     | Ref. Application No.                                       | : |                                       |
|                               | Date   | : |                                       |
| <b>3.</b>                     | Name of the Applicant                                      | : |                                       |
| <b>4.</b>                     | Address for Correspondence                                 | : |                                       |
| <b>5.</b>                     | Location of the Generating Station                         | : |                                       |
|                               | Latitude   | : |                                       |
|                               | Longitude  | : |                                       |
| <b>6.</b>                     | Nature of the Applicant                                    | : |                                       |
| <b>B Connectivity Details</b> |  |   |                                       |
| <b>7.</b>                     | <b>Details for Connectivity granted Quantum</b>            | : |                                       |
| <b>7a</b>                     | Intimation No. & date                                      | : |                                       |
| <b>7b</b>                     | Ref. Application No. & date                                | : |                                       |
| <b>7c</b>                     | Installed Capacity (MW)                                    | : |                                       |
| <b>7d</b>                     | Capacity (MW) for which connectivity already granted       | : |                                       |
| <b>7e</b>                     | ISTS sub-station and bay no. at which Connectivity granted | : |                                       |
| <b>7f</b>                     | Date from which connectivity granted                       | : |                                       |

|    |   |   |  |
|----|---|---|--|
| 8. | Additional Generation Capacity allowed to be connected, without change in Connectivity quantum already granted (MW) | : |  |
| 9. | Tentative date from which additional Generation Capacity to be added  | : |  |

**Note:**

1. This approval is for addition of additional generation capacity of ---MW within the quantum of Connectivity granted for ---MW vide intimation dated ---. The applicant shall ensure that it will keep the injection quantum to the extent of Connectivity granted i.e. ---MW.
2. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
  - v) CEA (Grid Standard) Regulations, 2010;
  - vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
  - vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
  - viii) CEA (Installation and Operation of Meters) Regulations, 2006;
  - ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
  - x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
  - xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
  - xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;

xiii)Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

3. The applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and; all other obligations by or to third parties, arising out of or resulting from the Connectivity.
4. Connectivity grantee shall furnish progress of the monitoring parameters on quarterly basis in the format given at **FORMAT-CONN-STATUS-CG** by the last day of each quarter through the online facility developed on the CTU website. Procedure for online submission of status report of connectivity grantees is available on the CTU website.
5. Depending on the topology and transmission system requirement, CTU may plan the Connectivity of any generating station(s) at terminal bay of an ISTS substation already allocated to another Connectivity applicant/grantee (such as through Loop-in Loop-out (LILO) of DTL) or switchyard of a generating station having Connectivity to ISTS for connection and injection of power. In such cases, an agreement (model agreement as per **FORMAT-CONN-SHARE**) shall be duly signed within one (1) month of the intimation regarding the sharing of DTL and/or terminal bay between the applicants/grantee for sharing the terminal bay / switchyard / dedicated transmission line, failing which the intimations for grant of Connectivity of applicants / grantee shall be liable for revocation.
6. Operation and maintenance expenses as well as transmission losses from the generator pooling station up to the ISTS sub-station shall be shared in proportion to the capacity of the renewable energy generating stations sharing the transmission infrastructure.
7. Connectivity grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007 & amendment(s) thereof, including the provisions of

LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of “Connectivity Agreement”. In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.

8. Grantee shall have to inform likely date of synchronization, likely quantum and period of injection of infirm power before being put into commercial operation to the SLDC/RLDC concerned at least one month in advance and obtain their concurrence for the same.
9. The Connectivity grantee shall furnish certificate issued by Electrical Inspectorate of CEA under Regulation 43 of the CEA (Measures relating to Safety and Electric Supply) Regulations, 2010 for the dedicated transmission line(s) and generator pooling station (s) within 10 days of receipt of same from CEA.
10. As per IEGC and CERC Order in Petition No: 420/MP/2014, Wind / Solar Generators are required to provide data acquisition system facility for transfer of data and information to concerned SLDC and RLDC.
11. Grantee shall provide details of Special Protection Scheme (SPS), if required, integrated into their system. In that event, details of SPS and its setting shall be worked out by the Grantee in consultation with respective RLDC and RPC.
12. Connectivity grantee shall provide Fibre Optic based communication system comprising OPGW cable (having minimum 12 Fibers) & hardware fittings for the dedicated transmission line and with FOTE (STM-16) terminal equipment, FODP, and approach cables at the Generating station. At ISTS station, the OPGW shall be terminated in Junction box to be mounted at Terminal Gantry by the Connectivity grantee. The FOTE equipment, FODP and approach cable at ISTS station are to be provided by the bay owner, however, the grantee shall provide all necessary support to bay owner for successful commissioning of the communication system. The communication system shall facilitate telemetry data communication, voice communication and tele-protection. Wherever transmission line is routed through multi-circuit towers, an OPGW of 48 Fibers shall be considered in Multi-Circuit

Portion. Further, the Connectivity grantee also needs to provide Phasor Measurement Units (PMU) at the generating station.

13. Applicant to provide Next Generation Firewall as per the specification/ features uploaded at CTU website.

14.

Place:

Name:

Date:

Designation:

**FORMAT-CONN-TRANS-INT-3****INTIMATION FOR TRANSFER OF CONNECTIVITY UNDER REGULATION 15**

|   |   |
|---|---|
| <b>1. Intimation No.</b>  | CTU/Region/Conn-TRANS-INT-3/Application no. |
| <b>Date</b>   |   |
| <b>2. Name of the Applicant:</b>  |   |
| <b>3. Details of Connectivity already Granted:</b><br><br>a) Intimation No. & date:<br>b) Ref. Application No. & date:<br>c) Installed Capacity (MW):<br>d) Capacity (MW) for which connectivity already granted:<br>e) ISTS sub-station and bay at which Connectivity granted:<br>f) Date from which connectivity granted: |   |
| <b>4. Nature of the Applicant:</b>  |   |
| <b>5. Quantum of Connectivity to be transferred (MW)</b>  |   |
| <b>6. Date of COD of part/full capacity to be transferred</b>   |   |
| <b>7. Amount of Conn-BG2 &amp; Conn-BG3</b>   |   |

**Note:**

1. The transferee shall sign Connectivity Agreement and submit Conn-BG2 and Conn-BG3, as applicable, within 1 month of issue of this intimation and shall be responsible for compliance with all applicable regulations.
2. This intimation shall be effective subject to submission of Conn-BG2 and Conn-BG3, as applicable.



3. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:

- i) Electricity Act, 2003;
- ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
- iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
- iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
- v) CEA (Grid Standard) Regulations, 2010;
- vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
- vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
- viii) CEA (Installation and Operation of Meters) Regulations, 2006;
- ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

4. The applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and; all other obligations by or to third parties, arising out of or resulting from the Connectivity.

5. Connectivity grantee shall furnish progress of the monitoring parameters on quarterly basis in the format given at **FORMAT-CONN-STATUS-CG** by the last day of each quarter through the online facility developed on the CTU website.

Procedure for online submission of status report of connectivity grantees is available on the CTU website.

6. The dedicated line including terminal line bay at generator end shall be developed by the grantee at their own cost.
7. Considering Right-of-Way near substation for termination of number of 400/ 220kV dedicated transmission lines, the connectivity grantees may coordinate among themselves for implementation of 400/ 220kV lines (as applicable) through multi circuit tower near the substation entry for about 2-3 kms stretches.
8. Depending on the topology and transmission system requirement, CTU may plan the Connectivity of any generating station(s) at terminal bay of an ISTS substation already allocated to another Connectivity applicant/grantee (such as through Loop-in Loop-out (LILO) of DTL) or switchyard of a generating station having Connectivity to ISTS for connection and injection of power. In such cases, an agreement (model agreement as per **FORMAT-CONN-SHARE**) shall be duly signed within one (1) month of the intimation regarding the sharing of DTL and/or terminal bay between the applicants/grantee for sharing the terminal bay / switchyard / dedicated transmission line, failing which the intimations for grant of Connectivity of applicants / grantee shall be liable for revocation.
9. Operation and maintenance expenses as well as transmission losses from the generator pooling station up to the ISTS sub-station shall be shared in proportion to the capacity of the renewable energy generating stations sharing the transmission infrastructure.
10. Connectivity grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007 & amendment(s) thereof, including the provisions of LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of "Connectivity Agreement". In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.

11. Grantee shall have to inform likely date of synchronization, likely quantum and period of injection of infirm power before being put into commercial operation to the SLDC/RLDC concerned at least one month in advance and obtain their concurrence for the same.
12. The Connectivity grantee shall furnish certificate issued by Electrical Inspectorate of CEA under Regulation 43 of the CEA (Measures relating to Safety and Electric Supply) Regulations, 2010 for the dedicated transmission line(s) and generator pooling station (s) within 10 days of receipt of same from CEA.
13. As per IEGC and CERC Order in Petition No: 420/MP/2014, Wind / Solar Generators are required to provide data acquisition system facility for transfer of data and information to concerned SLDC and RLDC.
14. Grantee shall provide details of Special Protection Scheme (SPS), if required, integrated into their system. In that event, details of SPS and its setting shall be worked out by the Grantee in consultation with respective RLDC and RPC.
15. Connectivity grantee shall provide Fibre Optic based communication system comprising OPGW cable (having minimum 12 Fibers) & hardware fittings for the dedicated transmission line and with FOTE (STM-16) terminal equipment, FODP, and approach cables at the Generating station. At ISTS station, the OPGW shall be terminated in Junction box to be mounted at Terminal Gantry by the Connectivity grantee. The FOTE equipment, FODP and approach cable at ISTS station are to be provided by the bay owner, however, the grantee shall provide all necessary support to bay owner for successful commissioning of the communication system. The communication system shall facilitate telemetry data communication, voice communication and tele-protection. Wherever transmission line is routed through multi-circuit towers, an OPGW of 48 Fibers shall be considered in Multi-Circuit Portion. Further, the Connectivity grantee also needs to provide Phasor Measurement Units (PMU) at the generating station.
16. Applicant to provide Next Generation Firewall as per the specification/ features uploaded at CTU website.

Place:

Name:

Date:

Designation:

**FORMAT-CONN-REL-INT-4****INTIMATION FOR RELINQUISHMENT OF CONNECTIVITY UNDER REGULATION****24**

|           |  |   |
|-----------|--|---|
| <b>1.</b> | Intimation No.   | CTU/Region/Conn-REL-INT-4/Application no. |
|           | Date   |   |
| <b>2.</b> | <b>Name of the Applicant:</b>  |   |
| <b>3.</b> | <b>Details of Connectivity already Granted:</b><br>a) Intimation No. & date:<br>b) Ref. Application No. & date:<br>c) Installed Capacity (MW):<br>d) Capacity (MW) for which connectivity already granted:<br>e) ISTS sub-station and bay at which Connectivity granted:<br>f) Date from which connectivity granted: |   |
| <b>4.</b> | <b>Nature of the Applicant:</b>  |   |
| <b>5.</b> | <b>Quantum (MW) of Connectivity to be relinquished</b>   |   |
| <b>6.</b> | <b>Date from which Connectivity relinquished</b>   |   |
| <b>7.</b> | <b>Connectivity remaining (MW)</b>   |   |

**Note:**

1. The treatment of Connectivity Bank Guarantee, if any, shall be as per Regulation 24.

Place:

Name:

Date:

Designation:

**FORMAT-CONN-BG**

**PROFORMA OF CONNECTIVITY BANK GUARANTEE- CONN-BG1/ CONN-BG2/  
CONN-BG3**

**(To be stamped in accordance with the Stamp Act)**

Ref..... Bank Guarantee/ POI No.....

Date.....

To,  
Central Transmission Utility of India Limited  
Plot No.2 Sector-29 Gurugram  
Haryana 122001 India

Dear Sirs,

In consideration of the Central Transmission Utility of India Limited, (hereinafter referred to as the “CTUIL” which expression shall unless repugnant to the context or meaning thereof include its successors, administrators and assigns) having assigned by CERC to process Connectivity and General Network Access applications as per Central Electricity Regulatory Commission (Connectivity and General Network Access to inter-state Transmission System) Regulations, 2022 has issued In-Principle Grant of connectivity vide intimation no. .... dated..... to M/s..... (Name of APPLICANT) with its Registered/Head office at... (hereinafter referred to as the “APPLICANT” which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns).

WHEREAS it has been agreed by the APPLICANT that the said Bank Guarantee (BG) shall be returned or encashed in terms of Procedure for “Connectivity and General Network Access to inter-state Transmission System) Regulations, 2022.

AND WHEREAS as per Central Electricity Regulatory Commission ( Connectivity and General Network Access to inter-state Transmission System) Regulations, 2022 APPLICANT is required to furnish a Bank Guarantee) for a sum of Rs.-----/- (Rupees        Only) as a security for fulfilling its commitments to CTUIL as stipulated

under Regulation 8 of the aforesaid Regulation.

We ..... (Name & Address of the Bank having its Head Office at..... (hereinafter referred to as the 'Bank', which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) do hereby guarantee and undertake to pay the CTUIL on demand any and all monies payable by the APPLICANT to the extent of Rs. ----- /- as aforesaid at any time up to..... \*\*(days/month/year) without any demur, reservation, context, recourse or protest and/or without any reference to the APPLICANT. The bank guarantee can be verified through Bank's Trade & Financing portal SFMS facility and the BGs is complying with criteria at **Annexure-I**.

Any such demand made by the CTUIL on the Bank shall be conclusive and binding not withstanding any difference between the CTUIL and the APPLICANT or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. The Bank undertakes not to revoke this guarantee during its currency without previous consent of the CTUIL and further agrees that the guarantee herein contained shall continue to be enforceable till the CTUIL discharges this guarantee or till the expiry of tenor(including Claim period) whichever is earlier.

The CTUIL shall have the fullest liberty without affecting in any way the liability of the Bank under this guarantee, from time to time to extend the time for performance of the obligations under the said agreement by the APPLICANT. The CTUIL shall have the fullest liberty, without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the APPLICANT, and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Agreement between the CTUIL and the APPLICANT or any other course or remedy or security available to the CTUIL. The Bank shall not be released of its obligations under these presents by any exercise by the CTUIL of its liberty with reference to the matters aforesaid or any of them or by reason of any other act of omission or commission on the part of the CTUIL or any other indulgences shown by the CTUIL or by any other matter or thing whatsoever which under law would, but for this provision have the effect of relieving the Bank.

The Bank also agrees that the CTUIL at its option shall be entitled to enforce this Guarantee-as a principal debtor, in the first instance without proceeding against the APPLICANT and not withstanding any security or other guarantee the CTUIL may have in relation to the APPLICANT's liabilities.

Notwithstanding anything contained hereinabove our liability under this guarantee is restricted to Rs. ----- /- (Rupees ----- Only) and it shall remain in force up to and including ..... and shall be extended from time to time for such period (not exceeding ..... year), as may be desired by M/s ..... on whose behalf this guarantee has been given. CTUIL shall be entitled to invoke this guarantee up to three hundred sixty five (365) days of the last date of the validity of this Guarantee.

Notwithstanding anything contained herewith:

- i. Our liability under this Bank Guarantee shall not exceed Rs----- 000/- (Rupees - ---- Only).
- ii. The Bank Guarantee shall be valid up to ..... And claim period is ---- --- (minimum 1 year from date of validity of bank guarantee)
- iii. We are liable to pay the guaranteed amount or any part thereof under this Bank Guarantee only and only if you serve upon us a written claim or demand on or before ..... (date of expiry of claim period of Guarantee) in any branch of Bank in NCR.

Dated this ..... day of ..... 20 ..... at.....

WITNESS

.....  
(Signature)

.....  
(Name)

.....

.....  
(Signature)

.....  
(Name)

.....

(Official Address)

(Designation with Bank Stamp)

Attorney as per Power

of Attorney No.....

Date.....

NOTES:

1. The stamp papers of appropriate value shall be purchased in the name of issuing Bank as first party.
2. CTUIL shall be the only other (second) party.



## **Annexure-I**

**The BGs issued should comply with the following criteria:-**

**For BGs:**

1. Bank Guarantee text should be strictly as per CERC approved Format
2. Stamp Paper to be purchased by Bank with Bank as first Party and Central Transmission Utility of India as second party.
3. Bank Guarantee to be submitted should have signatures of Two Witness with their Names and addresses
4. BG shall have Bank official's Signature with Designation , Official Stamp and Address
5. Attorney (as per Power of Attorney) number with date.
6. Conn-BG1, Conn-BG2 and Conn-BG3 shall be issued by any scheduled commercial bank recognized by Reserve Bank of India, in favor of CTU.
7. Claim for BG is to be lodged in the bank branch situated in NCR only. Further, BG shall be payable at any of the bank branch situated in NCR.
8. SFMS alongwith Bank Guarantee is to be provided.
9. Claim date should be one year later than the expiry date of the BG.
10. Bank should provide name, mobile number, email address of its officer with complete postal address with pin code where BG is to be verified, claim is to be

lodged and for any further future correspondences for rectification / renewal / discharge / encashment of BG.

11. In view of BG verification using SFMS facility following methodology is to be adopted.

- i. At the time of issuance of BG (including its extensions) , in order to avail BG verification through SFMS facility , the issuing Bank will input the IFSC code of the Beneficiary Bank i.e. CTUIL's bank namely SBI( SBIN0017313) in Advising field in their Trade Finance Portal for BG issue .
- ii. In Cases where SFMS feature is not activated/availed, BGs will continue to be issued by Banks through their Trade Finance portal/ system and verification of the same will be done through paper based BG confirmation system including two stage e-mail process. The CTUIL account details are as below:
  1. Account holder's name: Central Transmission Utility of India Limited
  2. Current A/c No.: 39954184733
  3. Name of the Bank: State Bank of India
  4. Branch Address: Corporate Accounts Group II Branch, 4<sup>th</sup> & 5<sup>th</sup> Floor, Parsvanath Capital Towers, Bhai Veer Singh Marg, Gole Market, New Delhi – 110001
  5. IFSC: SBIN0017313
  6. Branch Code: 17313

**FORMAT-CONN-STATUS-CG**  
**STATUS AS PER MONITORING PARAMETERS FOR CONNECTIVITY UNDER**  
**REGULATION 11.1**

| <b>Monitoring Parameters after grant of Connectivity</b> |  |  |
|--|--|--|
| <b>Sl. No.</b>   | <b>Monitoring Item</b>   | <b>Status</b>  |
| 1.   | Installation of Wind Masts, as applicable                                  | Status Report signed by board authorized representative with letter of authorization   |
| 2.   | Location with GPS coordinates of generator pooling station                 |  |
| 3.   | Walkover Survey for Dedicated Transmission Line                            |  |
| 4.   | Resource Assessment Studies  |  |
| 5.   | Acquisition of Land for generator pooling station                          | Land Required(acres):Land Acquired(acres):   |
| 6.   | Acquisition of Land for renewable generating station                       | Land Required(acres):Land Acquired(acres):   |
| 7.   | Details of Financial Closure   | Date of application<br><br>Status of Financial closure<br>Date of Financial Closure<br>Date of release of funds                                    |
| 8.   | Final Route Survey of Dedicated Transmission Line                          | Route Survey Report to be submitted.   |
| 9.   | Tendering and Details of Generator pooling station of Connectivity Grantee | (i) Planned capacity<br><br>(ii) Voltages, MVA Capacity, No. & Rating of Transformers<br><br>(iii) EHV Switchyard configuration, bay(s) and status |

| <b>Monitoring Parameters after grant of Connectivity</b> |   |   |
|--|---|---|
| <b>Sl. No.</b>   | <b>Monitoring Item</b>  | <b>Status</b>   |
|  |   | Low Voltage switchgear configuration, no. of sections, no. of bay(s) in each section and status   |
| 10.  | Award and Details of Dedicated Transmission Line                              | (i) Date of Award of Tower<br>(ii) Date of Award of Conductor<br>(iii) No. of Foundations (Total/Completed)<br>(iv) No. of Tower Erections (Total/Completed)<br>(v) Stringing (ckm) (Total/Completed) |
| 11.  | Tendering and Details of Renewable Generating station of Connectivity Grantee | (i) Planned capacity<br>(ii) Details of contract/contract packages<br>(iii) Date of Award of EPC contract<br>(iv) Progress of generating station<br>Expected date of Commissioning                    |



| Status of allocation of bay(s)/Margin available at the existing or the proposed ISTS sub-stations for Connectivity |                    |   |                        |        |                               |          |                      |   |         |  |                |         |  |                |         |  |   |   |         |                |         |  | { As on }      |         |
|--|--------------------|---|------------------------|--------|-------------------------------|----------|----------------------|---|---------|--|----------------|---------|--|----------------|---------|--|---|---|---------|----------------|---------|--|----------------|---------|
| Sl. No.  | Name of Substation | Existing /Proposed/under implementation S/s | Substation Coordinates | Region | Transformation Capacity (MVA) |          | Connectivity Granted |   |         |  |                |         |  |                |         |  | Margin available on Existing / Under Implementation Transmission System | Space Provision for Future Additional Line Bays (No.) for | Remarks |                |         |  |                |         |
|  |                    |   |                        |        | Planned                       | Existing | Under Implementation | Space provision for Future Additional ICT | 220kV   |  | 400kV          |         | 765kV                                  |                | 220kV   |  |   |   |         | 400kV          |         | 765kV                                  |                |         |
|  |                    |   |                        |        |                               |          |                      |   | Bay No. | Quantity of Connect ivity Granted (MW) | Name of Entity | Bay No. | Quantity of Connect ivity Granted (MW) | Name of Entity | Bay No. | Quantity of Connect ivity Granted (MW) |   |   |         | Name of Entity | Bay No. | Quantity of Connect ivity Granted (MW) | Name of Entity | Bay No. |
| 1  |                    |   |                        |        |                               |          |                      |   |         |  |                |         |  |                |         |  |   |   |         |                | 6       | 6                                      |                |         |

**FORMAT-CONN-LEAD**

**MODEL AGREEMENT BETWEEN THE LEAD GENERATOR AND OTHER  
GENERATORS LOCATED IN A GEOGRAPHICALLY CONTIGUOUS AREA FOR  
SEEKING INTER-CONNECTION WITH THE ISTS AT A SINGLE CONNECTION  
POINT**

This Agreement (hereinafter referred to as the "Agreement") has been made effective at ..... (Place) ..... and is effective from this... ..... day of.....20.....

BETWEEN:

M/s ..... (Name of the company) ....., a company registered under the Companies Act, (...year....) having its registered office at ..... (Address of the Company) ....., (hereinafter referred as "Lead Generator") (which expression wherever the context appears shall unless repugnant to the context meaning thereof) to, mean and include its successors in business and permitted assigns of the FIRST PART;

AND

M/s ..... (Name of the Company) ....., a company registered under the Companies Act, (..... year.....) having its registered office at.....(Address of the Company) ....., (which expression shall, unless repugnant to the context meaning thereof be deemed to, mean and include its successors in business and permitted assigns) of the SECOND PART;

AND

AND

AND

M/s ..... (Name of the Company) ....., a company registered

under the Indian Companies Act, (....year....) having its registered office at .....Address of the Company) .....(which expression shall, unless repugnant to the context meaning thereof be deemed to, mean and include its successors in business and permitted assigns) of the nth PART;

The parties referred to above shall individually be referred to as a "Party" and collectively as "Parties".

#### WHEREAS

- (a) The Parties hereinafter agree to develop more than one ..... (Type of the Generating Station) ..... for the total capacity of ..... (Capacity in MW) ..... in the State of ..... (Name of the State) ..... ( hereinafter referred to as 'Group of Projects') and jointly seek Connectivity, to inject electrical energy at ..... (Voltage level in kV) ..... level from the installed capacity of ... (Installed Capacity in MW) ..... of ..... (Type of the Generating Plant) ..... , into the 765/400/230 kV sub-station of the ..... (Name of the Transmission Licensee). The details of generating stations are as under:

| Name | Type of generator | Installed Capacity |
|------|-------------------|--------------------|
|      |                   |                    |

- (b) As per the provisions of the Central Electricity Regulatory Commission GNA Regulations, 2022, the Parties collectively fall under the definition of an 'Applicant' and the "lead generator" on their behalf shall apply for Connectivity to the CTU.
- (c) The Parties agree that in relation to the 'Group of Projects' and for the purpose of availing GNA with the inter-State Transmission Systems (ISTS) network for the requisite quantum, in line with the applicable Regulations, the 'Lead Generator' shall act on behalf of the Parties to undertake all operational and commercial responsibilities for all the Parties connected at that point following the provisions of the Indian Electricity Grid Code and all other regulations of the Commission, related to Grid security, Scheduling and Dispatch, Collection and



payment or adjustment of Transmission charges, deviation charges, congestion and other charges etc.

- (d) The Parties also agree to develop a common sub-transmission, transmission and evacuation network in relation to the 'Group of Projects' to be ultimately connected to the CTU grid sub-station in the ISTS network.
- (e) The Parties also agree that they shall share all the expenditure that may be incurred in developing the common network, infrastructure, any fee/charges that may be involved in taking the connectivity and/or fulfilling any statutory or any other requirement whatsoever may be experienced towards development of the 'Group of Projects'.(generators to decide the modalities of sharing the expenditure).
- (f) The Parties also agree that once the 'Group of Projects' is in part/full ready and operational, they shall co-operate and take all necessary steps in operating the 'Group of Projects' and shall also share all the expenditure that may be incurred towards operation of the 'Group of Projects' (generators to decide the modalities of sharing the expenditure).

NOW, THEREFORE in consideration of the premises and covenants hereinafter setforth, the Parties hereby agree as follows:

*(All terms and conditions of this agreement shall be decided mutually between the Lead Generator and other generators in accordance with the Electricity Act, 2003 and Regulations of the Commission as amended from time to time)*

#### **DEFINTION AND INTERPRETATION**

- A. Applicable law: means any Indian statute, law, regulation, ordinance, rule, judgment, order, clearance, approval, directive, guideline, policy, requirement, including Government Approvals, or determination by, or any interpretation or administration of any of the foregoing by any statutory or regulatory authority in India and in each case as amended from time to time.
- B. "Agreement" means this agreement and any Appendices or amendments thereto which are agreed in writing between the Parties and made a part hereof.

- C. "Appendix" means any attachment or annexure to the Agreement which is agreed in writing by all Parties and made a part hereof.
- D. "Confidential Information" shall have the meaning ascribed to it in Clause 7 of the Agreement.
- E. "Group of Projects" means the Group of Projects as defined in the first Recital hereto.
- F. "Insolvent" means, in relation to an entity.
  - (a) being insolvent or under administration;
  - (b) having a controller appointed by a tribunal or a court of competent jurisdiction, acting within its jurisdiction;
  - (c) being in receivership and management, liquidation, in provisional liquidation, under administration, wound up, subject (except to any internal reconstruction or amalgamation) to any arrangement, assignment or composition; or
  - (d) being declared by a tribunal or any other competent court, acting within its jurisdiction, to have become otherwise unable to pay its debts when they fall due.
- G. "Party" means a party to the Agreement
- H. "Term" shall have the meaning contained in clause 1.2 of the Agreement.

#### **Interpretation**

- a. The term "Clause" read in the Agreement shall refer to clause of the Agreement, except where expressly stated otherwise.
  - b. Words importing the singular shall include the plural and vice versa.
  - c. References to any statute or statutory provision or order or regulation made there under shall include that statute, provision, order or regulation as amended, modified, re-enacted or replaced from time to time whether before or after the date hereof.
-

- d. References to persons shall include bodies corporate, unincorporated associations, partnerships and any organization or entity having legal capacity;
- e. Headings to clauses are for information only and shall not form part of the operative provisions of this Agreement and shall not be taken into consideration in its interpretation or construction;
- f. References to recitals, clauses, or annexes are, unless the context otherwise require, to recitals to, or clauses of or annexes to this Agreement;
- g. References to the words "include" or including" shall be construed as being suffixed by the words "without limitation";
- h. Any reference to time shall be taken to be a reference to Indian Standard Time;
- i. Terms defined in the Appendix hereto shall have the meanings ascribed thereto in the Appendix when used elsewhere in this Agreement;
- j. Appendix to this Agreement form an integral part of this Agreement and will be of full force and effect as if these were expressly set out in the body of this Agreement;
- k. Any reference to any agreement, deed, instrument, license, code or other document of any description shall be construed at the particular time, as a reference to that agreement, deed, instrument, license, code or other document as the same may then have been amended, varied, supplemented, modified, suspended or novated;
- l. The terms used but not defined herein shall have the same meaning as assigned to
- m. them under the Agreement;
- n. The terms "hereof", "herein", "hereby", "hereto" and derivative or similar words refer to this entire agreement or specified clauses of this Agreement, as the case may be;
- o. Provisions including the word agree', "agrees" or "agreement" require the

agreement to be recorded in writing;

- p. No rule of construction applies to the disadvantage of one Party on the basis that the Party put forward or drafted this Agreement or any provision in it;
- q. Time is of the essence in the performance of the Agreement of the Parties' respective obligations. If the time period specified under this Agreement is extended, such extended time shall also form part of the Agreement;

## **1. Appointment and terms of Appointment**

- 1.1. The Parties hereto have mutually agreed that M/s .....(Name of the Generator) shall be the "lead generator" which shall act on behalf of them and it shall undertake all operational and commercial responsibilities for all the Parties seeking connection at a single connection point at the pooling sub-station under the ... (Name of the transmission Licensee) .....

### **1.2. Duration:**

This Agreement shall be valid for a period of .....years from the date of execution of this Agreement. The Parties hereto may extend the Term of the Agreement upon mutually agreed terms and conditions.

## **2. Scope**

- 2.1. The Parties hereby jointly agree to appoint M/s ..... (Name of Generator) the lead generator on their behalf and pursuant thereto authorize M/s. in its capacity as a lead generator to act and further undertake on their behalf all operational and commercial responsibilities in respect of seeking connection at a single connection point at the .....(Name of sub-station) .... sub-station of the.....(Name of Transmission Licensee), in the state of .....(Name of the State) (hereinafter referred to as the Grid) for injection of power generated from an installed capacity of (Capacity in MW) at any point of time into the Grid.
- 2.2. The Parties undertake to abide by the applicable law during the term of this agreement. Subject to the applicable law, in the event any Party desires to exit this Agreement, the other Parties shall continue to abide by the terms and

conditions of grant of Connectivity for the balance period of this agreement. In the event the lead generator desires to exit this Agreement, then the other Parties shall with permission of the CTU, nominate amongst themselves any Party to be the 'lead generator' to act on their behalf for all operational and commercial responsibilities and other responsibilities as detailed under this agreement.

- 2.3. In the event if more than one Party exits the present Agreement resulting thereto if the installed capacity falling below 50 MW, then, subject to the approval of the CTU and the applicable law, the remaining Parties/Party may invite one or more Parties to form part of this agreement in order to raise the aggregate capacity over 50 MW. Such new parties/Party shall be bound by the terms and conditions of grant of connectivity for the remainder of such term of the Agreement or such period, as may be directed by CTU.
- 2.4. The parties agree that the party (one or more) which exits the Agreement shall pay appropriate compensation for common infrastructure built in proportionate to its share.
- 2.5. It is hereby mutually agreed that the parties under this agreement shall be bound by the details further elaborated in respect of the Scope of Work as set out in Appendix 1.

### **3. Responsibilities of the Parties:**

- 3.1. The Parties shall abide by the Applicable Indian laws, regulations, statutory provisions or norms laid down by the Government, Local or Municipal Authorities, the Indian Electricity Grid Code and all other Regulations of the Commission, such as Grid security, scheduling and dispatch, collection and payment adjustment of transmission charges. Deviation charges, congestion and other charges related to the connectivity for use of inter-State transmission system and/or associated facilities, through the "lead generator", who shall be the single point contact and the responsible entity as per Central Electricity Regulatory Commission GNA Regulations, 2022.
- 3.2. The Parties hereto shall carry out any/all such activities which are ancillary and or supplementary in order to give effect to the Scope of Work as stated in

Clause 2.

**4. Joint management and Role of Lead Generator:**

- 4.1. A Management Committee, which comprises of the representatives of all Parties herein shall be created, in order to review and decide upon all matters of importance relating to the development of the „Group of Projects’, Grant of connectivity.
- 4.2. The constitution, terms of reference, powers and Procedures of the Management Committee shall be as set out in Appendix 2 (Joint Management).
- 4.3. Decisions of the Management Committee shall be unanimous, except wherever this Agreement expressly provides otherwise.
- 4.4. .... (Name of Lead Generator) shall act as the Lead Generator, subject to the authority of the Management Committee. The Meetings of the Management Committee shall be chaired by a representative of the "Lead Generator".
- 4.5. All Parties shall give the Lead Generator their utmost support in carrying out its functions as Lead Generator and, in particular, all documents and information reasonably required by the Lead Generator for the submission of the Grant of connectivity shall be made available to the Lead Generator in the form and at the time required for the purposes of the Grant of connectivity and the Group of Projects or as may be otherwise reasonably requested by the Lead Generator.

**5. Termination:**

- 5.1. Following shall constitute as an event of default of a Party (Events of Default) leading to termination of the agreement
  - (a) Either Party becomes bankrupt or insolvent or goes into liquidation has a receiver or administrator appointed against the defaulting party compounds withhis creditors or carries on business under a Receiver Trustee or Manager forthe benefit of his creditors or if any act is done or event occurs which (under applicable laws) has a similar effect to any of these acts or events:

- (b) Either Party fails to fulfill its obligations under this Agreement, and does not rectify the same within ninety (90) days of the receipt of a written notice from the other Party/ies.
- (c) Any representations and warranties provided under this Agreement by either of the Parties are found to be false misleading and incorrect.

## **5.2. Effect of Termination:**

- 5.2.1. Upon occurrence of an Event of Default, the non-defaulting Party/ies shall terminate the Agreement after serving advance notice of 90 days ("Default Notice") which shall specify in reasonable detail the occurrence of an event of Default.
- 5.2.2. After the issue of Default Notice, the defaulting Party/ies shall have the opportunity to cure the default mentioned in the Default Notice before the expiry of the period of the Default Notice (to the extent the default in question is capable of being cured). Upon cure of the default to the satisfaction of the non-defaulting Party/ies, the Default Notice shall be deemed to have been revoked by the non-defaulting Party/ies. During the pendency of the Default Notice, the Parties shall however continue to perform their obligations under this Agreement.
- 5.2.3. If the defaulting Party/ies fails to cure the default, pursuant to Clause 5.2.2 above, the Agreement shall stand terminated at the end of the period of 90 days (other than in case of payment default by the Company, in which case ninety (90) day period shall be read as thirty (30) days) commencing from the date of the Default Notice. Upon termination of this Agreement, each Party shall pay to the other such payments as are due and payable to such other Party/ies pursuant to the provisions of this Agreement.

## **5.3. Consequence of Termination:**

On termination of this Agreement in accordance with the terms and conditions herein provided, the rights and duties of the Parties / Party seeking termination shall cease to exist.

The Parties' under this Agreement shall be in addition to and not in derogation of any rights, powers, privileges or remedies provided by law. Each Party shall be entitled to exercise concurrently any of the remedies available whether under this Agreement or provided by Applicable Law.

**6. Insurance:**

- 6.1. Each Party shall effect and maintain at its own risk and expense those insurances required by the Group of Projects in respect of its Scope of Work unless the Group of Projects requires them and/or the Parties agree to effect common insurance(s). In such case the Lead Generator shall effect and maintain such common insurance(s) in the joint interest of the Parties. The cost of effecting and maintaining such common insurance(s) shall be shared by the Parties in proportion to their Capacity.
- 6.2. Each Party shall notify administer and bear any and all costs of claims against its own or any common insurers in connection with its Scope of Work, including but not limited to any excess or deductible or uninsured amounts under the relevant policies.

**7. Confidentiality:**

- 7.1. Subject to Clause 8.2 of the Agreement, each of the Parties shall keep the contents of the Agreement and all books, documents (whether electronic or in hard copy) and information made available to that Party/ies for the purposes of entering into this Agreement ("Confidential Information") or in the course of the performance of the Agreement confidential, and shall not disclose the same to any other person without the prior written consent of the other Party/ies.
- 7.2. Clause 8.1 shall not apply in the following circumstances
  - (a) any disclosure is required by applicable laws or in respect of information already in the public domain;
  - (b) any disclosure required by any applicable stock exchange listing rule: and
  - (c) disclosure to a lender of the Group of Projects, to the extent required for



the purposes of raising funds or maintaining compliance with credit arrangement.

In the event of a disclosure is required by applicable law, upon reasonable request by the non-disclosing Party/ies, the disclosing Party/ies shall use all reasonable efforts and co-operate with other Party's/ies' efforts to obtain confidential treatment of material so disclosed

7.3. The Parties shall exercise high degree of care and caution to preserve and protect the other Party's/ies' Confidential Information from disclosure in the manner that they protect their own Confidential Information.

7.4. Confidential Information disclosed shall be and remain the property of the disclosing Party/ies. The obligations of the Parties to protect Confidential Information shall survive the termination of this Agreement.

## **8. Publicity:**

8.1. The Parties shall be permitted to disclose all relevant aspects of this Agreement to their respective Nominees, investment bankers, lenders, accountants, legal counsel, bona fide prospective investors. Lenders, in each case only where such persons or entities are under appropriate non-disclosure Obligations imposed by professional ethics, law or otherwise, and to stock exchanges and other statutory & legal authorities. The disclosing Party shall take utmost care that by disclosing the information the other Party's/ies business interest are not adversely affected

### **8.2. It is agreed between the Parties that**

- (a) in the event either of the Parties is required to make any disclosure regarding this Agreement or any aspects related thereto pursuant to the provisions or requirements of law, then the Party/ies required to make such disclosure shall provide a reasonable notice to the other Parties. The Parties shall thereafter and prior to disclosing any such information, mutually agree on the content of the information being disclosed.
- (b) in the event either of the Parties is required to make any disclosure regarding this Agreement or any aspects related thereto by way of release

of any statement or information to the media, whether electronic or print form, the disclosing Party/ies shall seek the prior written approval of the other Parties for such disclosure including on the content of such disclosure.

- 8.3. However, the Parties agree that such consents required to be obtained pursuant to this Clause 8 shall not be unreasonably delayed or withheld so as to cause breach of the time period for such disclosure.

**9. Notices:**

Any notice or other communication to be given by one Party to the others under, or in connection with the matters contemplated by, this Agreement shall be in writing and shall be given by letter delivered by hand or registered post to the address given and marked for the attention of the person as set out in the body of the Agreement and may be marked to the Parties as may be from time to time designated by notice to the other.

**10. Indemnity:**

Each of the Parties hereto shall indemnify and hold the others, its employees, officers, advisers and affiliates and any person controlling any of them and each of its or their officers, directors, employees and agents harmless from and against all third party actions, claims, damages, proceeding, investigations, liabilities or judgments and all losses, damage, costs, charges and expenses or whatever nature and in whatever jurisdiction, and which arise from the Agreement, other than for any claims or losses to the extent that are finally determined by a court of competent jurisdiction to have resulted primarily from gross negligence or willful default of the other Party/ies.

**11. Dispute resolution:**

The Parties hereto shall make all attempts to resolve all disputes and differences through mutual discussions/ negotiations whether relating to the interpretation, meaning, validity, existence or breach of this Agreement or any clause herein within a period of 1 month keeping in view the spirit of this Agreement.

In the event the dispute or differences between the parties are not settled mutually or fail to negotiate their differences, then the aggrieved Party shall by giving a notice to the other Parties to refer the dispute or difference to a Sole arbitrator to be appointed mutually by the Parties. The arbitration shall be conducted according to the provisions of the Arbitration and Conciliation Act, 1996 as amended from time to time and the place of arbitration shall be ...(Name of the Place) .....

**12. Jurisdiction and Governing Laws:**

Subject to Clause 11, the Parties hereto irrevocably submit to the sole and exclusive jurisdiction of the (Name of the Courts) This Agreement is governed by the laws subsisting in India and any amendments thereto.

**13. Assignment:**

Neither of the Parties shall assign, outsource nor sub-contract this Agreement in whole or any part or on thereof to any of their affiliates, group companies or any third party without the written consent of the other Parties.

**14. Miscellaneous:**

14.1 The Agreement including any schedules and annexure attached hereto shall, constitute the entire understanding of the Parties relating to the subject matter hereof and shall supersede all past correspondence/letters exchanged/agreements executed between the Parties hereto.

14.2 Except as otherwise provided herein, this Agreement may not be varied/amended except by agreement in writing to be signed by all Parties.

14.3 The Parties agree that in performing their respective responsibilities pursuant to this Agreement, they are independent contractors and their personnel are not agents or employees of the other for any purpose whatsoever, and are not entitled to each other's employees' benefits. Each party is solely responsible for compensation of its personnel and for payment of workmen's compensation, disability and other similar benefits, unemployment and other similar insurance and for the withholding of other taxes and social security. Nothing herein may be

construed to create an agency, joint venture; partnership or other relationship between the parties other than independent contractors.

14.4 If any provision of this Agreement is declared inoperative, void or illegal by a court of competent jurisdiction, the remaining provisions of the Agreement shall not be affected and shall continue to operate in full force unless this Agreement is thereby rendered impossible from perform.

14.5 If either of the Parties at any time fails to require strict compliance with any term or condition hereunder, such failure will not constitute a waiver of such term or condition or of any subsequent breach of that term or condition or a waiver of any other term or condition. For the avoidance of doubt, each Party's/ies' rights under this agreement may be used asset each considers appropriate, and apply in addition to any law. Each party loses its rights only if it specifically waives them in writing.

14.6 All the terms and conditions which by its very nature, survive termination/expiry of the Agreement, shall survive such termination/expiry.

14.7 This Agreement may be executed in two (2) parts each which shall be deemed original and all of which shall be deemed one and the same Agreement.

IN WITNESS WHEREOF THE Parties have caused this Agreement to be executed on ....(Day).... of . ...(Month) , 20.... by their duly authorised representatives as a legally binding contract in 2 (two) original copies on the day and year first written above, each Party receiving one original copy.

**For and on behalf of Lead Generator**

Signature : .....

Signature:.....

Name:.....

Name:.....

Designation .....

Designation.....

**For and on behalf of Company A**

Signature: .....

Signature:.....

Name: .....

Name: .....

Designation: .....

Designation: .....

**For and on behalf of Company Z**

Signature: .....

Signature:.....

Name: .....

Name:.....

Designation: .....

Designation: .....

## Appendix-1

### Scope of Works:

- A. Each Party would monetarily support/share the Lead Generator in developing a common infrastructure of the group of generators and dedicated transmission network from Park to CTU sub-station.
- B. Each Party would monetarily support/share the Lead Generator in developing a common infrastructure which may be required at the CTUs sub-station like bay equipment etc.
- C. Each Party would monetarily support/share the Lead Generator in bearing the expenditure which may be incurred in day to day O&M of the common infrastructure for group of generators, dedicated transmission network and network/equipment in CTU sub-station.
- D. Each Party would monetarily support/share through the Lead Generator any charges which may be incurred as transmission charges/losses in money terms or kind as the matter may be from time to time.
- E. Each Party authorize the Lead Generator to approach the CTU for availing connectivity for the group of generators as a whole and shall share any expenses which may be incurred in such process.
- F. Each Party authorize the Lead Generator to represent them at any governmental/statuary and/or any other authority in respect of any matter whatsoever may be required in relation to development of above group of generators.

## **JOINT MANAGEMENT**

### **1. Management Committee**

- 1.1. The Management Committee shall review and decide upon all important matters relating to the Grant of connectivity and the Group of Projects (other than matters falling solely within the Scope of Work of one Party and not affecting the interests of any other Party), in particular:
  - 1.1.1. establish the Grant of connectivity format, coordinate the preparation of the technical and commercial content of the Grant of connectivity by the Parties and collate the Grant of connectivity for submission to the CTU and negotiation in relations to the Group of Projects and any proposed Variation, supplement or amendment thereto;
  - 1.1.2. any proposed revision of the Grant of connectivity for Group of Projects price(s);
  - 1.1.3. any proposed modification, reduction or extension of the Group of Projects schedule and, at the request of a Party, any work schedule previously agreed between the Parties;
  - 1.1.4. any proposed reallocation of supplies, services or responsibilities among the Parties;
  - 1.1.5. any proposed revision of the Proportionate Shares of the Parties;
  - 1.1.6. any proposed addition of another party to or expulsion of an existing Party from this Agreement;
  - 1.1.7. any other important matter raised by any of the Parties.
- 1.2. Each Party shall appoint a representative who shall be an officer or director of that Party and an alternate by notice in writing within (1) (one) week of the date of this Agreement. Each Party may revoke the appointment of and replace its representative and alternate by notice in writing to the Management Committee.
- 1.3. Meetings of the Management Committee shall be convened by the Lead Generator at least (4) (four) times a year and, should circumstances so require, at any other time at the request of a Party, stating the circumstances, by giving

- (2) (two) weeks' notice in writing or such lesser period of notice as the circumstances may reasonably demand.
- 1.4. Each representative, or alternate in the absence of the representative, shall be deemed to have the authority to represent the Party appointing him or her in respect of all matters concerning the Management Committee.
- 1.5. Meetings of the Management Committee shall normally be held at the Lead Generator's offices or otherwise as mutually agreed. In cases of particular urgency, decisions may also be reached by telephone, correspondence, telex, email or facsimile. All decisions arrived at by telephone or facsimile shall be promptly confirmed in writing to every representative on the Management Committee by the Lead Generator's representative.
- 1.6. All decisions of the Management Committee must be unanimous save as except where it has been expressly stated in this Agreement.
- 1.7. Should a meeting of the Management Committee fail to achieve unanimity on a proposal, a decision on that proposal shall be adjourn. In the event that the Parties are unable to arrive at an unanimous decision or on a modified proposal within 5 (five) working days of the original meeting and if a continued failure to resolve the issue would put the due performance of the Group of Projects materially at risk or in case of emergency, the Chairperson of the Management Committee shall be and hereby is empowered to take a decision to safeguard the common interest of the Parties and shall report such decision immediately to the other Parties. Such decision shall be duly implemented by the Parties without delay and without prejudice to the provisions of Clause 11 (Dispute - Resolution). If any Party fails to cause its representative or alternate to attend at a duly convened meeting of the Management Committee the meeting shall be adjourned for 2 (two) working days and the Parties shall immediately be notified by telex or facsimile of such adjournment. If the non-attending Party fails to cause its representative or alternate to attend the resumed meeting other than as a result of causes beyond the control of that Party, then unanimous decisions taken by those present at such meetings shall constitute a valid decision of the Management Committee.
- 1.8. The representative (or alternate in the absence of the representative) appointed
-



by the Lead Generator shall chair all meetings of the Management Committee.

- 1.9. The representative of the Lead Generator shall distribute minutes of each meeting of the Management Committee to each Party without delay. The minutes shall be deemed to have been accepted by the other Parties unless comments are made in writing within ten (10) working days of their distribution.
- 1.10. If any Party is in default under Clause 5 (Termination) then notwithstanding any other provision in this Agreement or its Appendices, such defaulting Party shall not be entitled to take part in any approval, action, step or proceedings with respect to the Agreement and the representative or alternate of such defaulting Party shall have no right to participate in the voting at any meetings of the Management Committee and any decisions or actions to be taken by the Management Committee in its absence shall be deemed a decision or action of the Parties.
- 1.11. The costs incurred by each Party in respect of its representative or alternate in the functioning of the Management Committee shall be borne by such Party and shall not be a cost chargeable to the other Parties.

**FORMAT-CONN-SHARE**

**MODEL AGREEMENT BETWEEN THE GENERATORS LOCATED IN A  
GEOGRAPHICALLY CONTIGUOUS AREA FOR SEEKING INTER-CONNECTION  
WITH THE ISTS AT A SINGLE CONNECTION POINT**

This Agreement (hereinafter referred to as the "Agreement") has been made effective at ..... (Place) ..... and is effective from this.....day of .....20.....

BETWEEN:

M/s ..... (Name of the company) ....., a company registered under the Companies Act, (...year....) having its registered office at ..... (Address of the Company) ....., (hereinafter referred as "Lead Generator/First Party") (which expression wherever the context appears shall unless repugnant to the context meaning thereof) to, mean and include its successors in business and permitted assigns of the FIRST PART;

AND

M/s ..... (Name of the Company) ....., a company registered under the Companies Act, (..... year.....) having its registered office at..... (Address of the Company) (hereinafter referred as 'Second Party') ....., (which expression shall, unless repugnant to the context meaning thereof be deemed to, mean and include its successors in business and permitted assigns) of the SECOND PART;

AND

AND

AND

M/s ..... (Name of the Company) ....., a company registered under the Indian Companies Act, (.....) having its registered office at..... (Address of the Company) (hereinafter referred as 'N<sup>th</sup> Party')....., (which expression shall,

unless repugnant to the context meaning thereof be deemed to, mean and include its successors in business and permitted assigns) of the nth PART;

The parties referred to above shall individually be referred to as a "Party" and collectively as "Parties".

#### WHEREAS

- (a) The Parties hereinafter agree to develop and share the terminal bay or the switchyard and the dedicated transmission lines, if any, and jointly seek Connectivity, to inject electrical energy at .....(Voltage level in kV)..... level from the installed capacity of ... (Installed Capacity in MW)..... of .....(Type of the Generating Plant) ....., into the 765/400/230 kV sub-station of the ..... (Name of the Transmission Licensee). The details of generating stations of the parties are as under:

| Name         | Type of generator | Installed Capacity |
|--------------|-------------------|--------------------|
| First Party  |                   |                    |
| Second party |                   |                    |
| ...          |                   |                    |
| Nth Party    |                   |                    |

- (b) The parties eligible for Application to Connectivity shall develop and share the dedicated line and Terminal Bay(s) as per the provisions Regulation 5.6 and 5.7 of the Central Electricity Regulatory Commission GNA Regulations, 2022,
- (c) The Parties agree that for the purpose of availing Connectivity with the inter-State Transmission Systems (ISTS) network for the requisite quantum, in line with the applicable Regulations, the 'Lead Generator' shall act on behalf of the Parties to undertake all operational and commercial responsibilities for all the Parties connected at that point following the provisions of the Indian Electricity Grid Code

and all other regulations of the Commission, related to Grid security, Scheduling and Dispatch, Collection and payment or adjustment of Transmission charges, deviation charges, congestion and other charges etc.

- (d) The Parties also agree to develop a common sub-transmission, transmission and evacuation network in relation to their Projects to be ultimately connected to the CTU grid sub-station in the ISTS network.
- (e) The Parties also agree that they shall share all the expenditure that may be incurred in developing the common network, infrastructure, any fee/charges that may be involved in taking the connectivity and/or fulfilling any statutory or any other requirement whatsoever may be experienced towards development of the 'Group of Projects'.(generators to decide the modalities of sharing the expenditure).
- (f) The Parties also agree that once their 'Projects' is in part/full ready and operational, they shall co-operate and take all necessary steps in operating their and shall also share all the expenditure that may be incurred towards operation of their (generators to decide the modalities of sharing the expenditure).

NOW, THEREFORE in consideration of the premises and covenants hereinafter set forth, the Parties hereby agree as follows:

*(All terms and conditions of this agreement shall be decided mutually between the Lead Generator and other generators in accordance with the Electricity Act, 2003 and Regulations of the Commission as amended from time to time)*

#### **DEFINITION AND INTERPRETATION**

- A. Applicable law: means any Indian statute, law, regulation, ordinance, rule, judgment, order, clearance, approval, directive, guideline, policy, requirement, including Government Approvals, or determination by, or any interpretation or administration of any of the foregoing by any statutory or regulatory authority in India and in each case as amended from time to time.
- B. "Agreement" means this agreement and any Appendices or amendments thereto which are agreed in writing between the Parties and made a part hereof.

- C. "Appendix" means any attachment or annexure to the Agreement which is agreed in writing by all Parties and made a part hereof.
- D. "Confidential Information" shall have the meaning ascribed to it in Clause 7 of the Agreement.
- E. "Group of Projects" means the Group of Projects as defined in the first Recital hereto.
- F. "Insolvent" means, in relation to an entity.
  - (a) being insolvent or under administration;
  - (b) having a controller appointed by a tribunal or a court of competent jurisdiction, acting within its jurisdiction;
  - (c) being in receivership and management, liquidation, in provisional liquidation, under administration, wound up, subject (except to any internal reconstruction or amalgamation) to any arrangement, assignment or composition; or
  - (d) being declared by a tribunal or any other competent court, acting within its jurisdiction, to have become otherwise unable to pay its debts when they fall due.
- G. "Party" means a party to the Agreement
- H. "Term" shall have the meaning contained in clause 1.2 of the Agreement.

### **Interpretation**

- a. The term "Clause" read in the Agreement shall refer to clause of the Agreement, except where expressly stated otherwise.
- b. Words importing the singular shall include the plural and vice versa.
- c. References to any statute or statutory provision or order or regulation made there under shall include that statute, provision, order or regulation as amended, modified, re-enacted or replaced from time to time whether before or after the

date hereof.

- d.** References to persons shall include bodies corporate, unincorporated associations, partnerships and any organization or entity having legal capacity;
- e.** Headings to clauses are for information only and shall not form part of the operative provisions of this Agreement and shall not be taken into consideration in its interpretation or construction;
- f.** References to recitals, clauses, or annexes are, unless the context otherwise require, to recitals to, or clauses of or annexes to this Agreement;
- g.** References to the words "include" or including" shall be construed as being suffixed by the words "without limitation";
- h.** Any reference to time shall be taken to be a reference to Indian Standard Time;
- i.** Terms defined in the Appendix hereto shall have the meanings ascribed thereto in the Appendix when used elsewhere in this Agreement;
- j.** Appendix to this Agreement form an integral part of this Agreement and will be of full force and effect as if these were expressly set out in the body of this Agreement;
- k.** Any reference to any agreement, deed, instrument, license, code or other document of any description shall be construed at the particular time, as a reference to that agreement, deed, instrument, license, code or other document as the same may then have been amended, varied, supplemented, modified, suspended or novated;
- l.** The terms used but not defined herein shall have the same meaning as assigned to them under the Agreement;
- m.** The terms "hereof", "herein", "hereby", "hereto" and derivative or similar words refer to this entire agreement or specified clauses of this Agreement, as the case may be;
- n.** Provisions including the word agree', "agrees" or "agreement" require the

agreement to be recorded in writing;

- o.** No rule of construction applies to the disadvantage of one Party on the basis that the Party put forward or drafted this Agreement or any provision in it;
- p.** Time is of the essence in the performance of the Agreement of the Parties' respective obligations. If the time period specified under this Agreement is extended, such extended time shall also form part of the Agreement;

## **1. Appointment and terms of Appointment**

- 1.1.** The Parties hereto have mutually agreed that M/s .....(Name of the Generator) shall be the "lead generator" which shall act on behalf of them and it shall undertake all operational and commercial responsibilities for all the Parties seeking connection at a single connection point at the pooling sub-station under the ... (Name of the transmission Licensee) .....

### **1.2. Duration:**

This Agreement shall be valid for a period of .....years from the date of execution of this Agreement. The Parties hereto may extend the Term of the Agreement upon mutually agreed terms and conditions.

## **2. Scope**

- 2.1.** The Parties hereby jointly agree to appoint M/s ..... (Name of Generator).....the lead generator on their behalf and pursuant thereto authorize M/s. in its capacity as a lead generator to act and further undertake on their behalf all operational and commercial responsibilities in respect of seeking connection at a single connection point at the .....(Name of sub- station) .... sub-station of the..... (Name of Transmission Licensee), in the state of ..... (Name of the State) (hereinafter referred to as the Grid) for injection of power generated from an installed capacity of (Capacity in MW) at any point of time into the Grid.
- 2.2.** The Parties undertake to abide by the applicable law during the term of this agreement. Subject to the applicable law, in the event any Party desires to exit

this Agreement, the other Parties shall continue to abide by the terms and conditions of grant of Connectivity for the balance period of this agreement. In the event the lead generator desires to exit this Agreement, then the other Parties shall with permission of the CTU, nominate amongst themselves any Party to be the 'lead generator' to act on their behalf for all operational and commercial responsibilities and other responsibilities as detailed under this agreement.

- 2.3. In the event if more than one Party exits the present Agreement resulting thereto if the installed capacity falling below 50 MW, then, subject to the approval of the CTU and the applicable law, the remaining Parties/Party may invite one or more Parties to form part of this agreement in order to raise the aggregate capacity over 50 MW. Such new parties/Party shall be bound by the terms and conditions of grant of connectivity for the remainder of such term of the Agreement or such period, as may be directed by CTU.
- 2.4. The parties agree that the party (one or more) which exits the Agreement shall pay appropriate compensation for common infrastructure built in proportionate to its share.
- 2.5. It is hereby mutually agreed that the parties under this agreement shall be bound by the details further elaborated in respect of the Scope of Work as set out in Appendix 1.

### **3. Responsibilities of the Parties:**

- 3.1. The Parties shall abide by the Applicable Indian laws, regulations, statutory provisions or norms laid down by the Government, Local or Municipal Authorities, the Indian Electricity Grid Code and all other Regulations of the Commission, such as Grid security, scheduling and dispatch, collection and payment adjustment of transmission charges. Deviation charges, congestion and other charges related to the connectivity for use of inter-State transmission system and/or associated facilities, through the "lead generator", who shall be the single point contact and the responsible entity as per Central Electricity Regulatory Commission GNA Regulations, 2022.
- 3.2. The Parties hereto shall carry out any/all such activities which are ancillary and



or supplementary in order to give effect to the Scope of Work as stated in Clause 2.

**4. Joint management and Role of Lead Generator:**

- 4.1. A Management Committee, which comprises of the representatives of all Parties herein shall be created, in order to review and decide upon all matters of importance relating to the development of their Project, Grant of connectivity.
- 4.2. The constitution, terms of reference, powers and Procedures of the Management Committee shall be as set out in Appendix 2 (Joint Management).
- 4.3. Decisions of the Management Committee shall be unanimous, except wherever this Agreement expressly provides otherwise.
- 4.4. .... (Name of Lead Generator) shall act as the Lead Generator, subject to the authority of the Management Committee. The Meetings of the Management Committee shall be chaired by a representative of the "Lead Generator".
- 4.5. All Parties shall give the Lead Generator their utmost support in carrying out its functions as Lead Generator and, in particular, all documents and information reasonably required by the Lead Generator for the submission of the Grant of connectivity shall be made available to the Lead Generator in the form and at the time required for the purposes of the Grant of connectivity and the Group of Projects or as may be otherwise reasonably requested by the Lead Generator.

**5. Termination:**

- 5.1. Following shall constitute as an event of default of a Party (Events of Default) leading to termination of the agreement
  - (a) Either Party becomes bankrupt or insolvent or goes into liquidation has a receiver or administrator appointed against the defaulting party compounds withhis creditors or carries on business under a Receiver Trustee or Manager forthe benefit of his creditors or if any act is done or event occurs which (under applicable laws) has a similar effect to any of these acts or events:

- (b) Either Party fails to fulfill its obligations under this Agreement, and does not rectify the same within ninety (90) days of the receipt of a written notice from the other Party/ies.
- (c) Any representations and warranties provided under this Agreement by either of the Parties are found to be false misleading and incorrect.

## **5.2. Effect of Termination:**

- 5.2.1.** Upon occurrence of an Event of Default, the non-defaulting Party/ies shall terminate the Agreement after serving advance notice of 90 days ("Default Notice") which shall specify in reasonable detail the occurrence of an event of Default.
- 5.2.2.** After the issue of Default Notice, the defaulting Party/ies shall have the opportunity to cure the default mentioned in the Default Notice before the expiry of the period of the Default Notice (to the extent the default in question is capable of being cured). Upon cure of the default to the satisfaction of the non-defaulting Party/ies, the Default Notice shall be deemed to have been revoked by the non-defaulting Party/ies. During the pendency of the Default Notice, the Parties shall however continue to perform their obligations under this Agreement.
- 5.2.3.** If the defaulting Party/ies fails to cure the default, pursuant to Clause 5.2.2 above, the Agreement shall stand terminated at the end of the period of 90 days (other than in case of payment default by the Company, in which case ninety (90) day period shall be read as thirty (30) days) commencing from the date of the Default Notice. Upon termination of this Agreement, each Party shall pay to the other such payments as are due and payable to such other Party/ies pursuant to the provisions of this Agreement.

## **5.3. Consequence of Termination:**

On termination of this Agreement in accordance with the terms and conditions herein provided, the rights and duties of the Parties / Party seeking termination shall cease to exist.

The Parties' under this Agreement shall be in addition to and not in derogation of any rights, powers, privileges or remedies provided by law. Each Party shall be entitled to exercise concurrently any of the remedies available whether under this Agreement or provided by Applicable Law.

**6. Insurance:**

- 6.1. Each Party shall effect and maintain at its own risk and expense those insurances required by the Group of Projects in respect of its Scope of Work unless the Group of Projects requires them and/or the Parties agree to effect common insurance(s). In such case the Lead Generator shall effect and maintain such common insurance(s) in the joint interest of the Parties. The cost of effecting and maintaining such common insurance(s) shall be shared by the Parties in proportion to their Capacity.
- 6.2. Each Party shall notify administer and bear any and all costs of claims against its own or any common insurers in connection with its Scope of Work, including but not limited to any excess or deductible or uninsured amounts under the relevant policies.

**7. Confidentiality:**

- 7.1. Subject to Clause 8.2 of the Agreement, each of the Parties shall keep the contents of the Agreement and all books, documents (whether electronic or in hard copy) and information made available to that Party/ies for the purposes of entering into this Agreement ("Confidential Information") or in the course of the performance of the Agreement confidential, and shall not disclose the same to any other person without the prior written consent of the other Party/ies.
- 7.2. Clause 8.1 shall not apply in the following circumstances
  - (d) any disclosure is required by applicable laws or in respect of information already in the public domain;
  - (e) any disclosure required by any applicable stock exchange listing rule: and
  - (f) disclosure to a lender of the Group of Projects, to the extent required for

the purposes of raising funds or maintaining compliance with credit arrangement.

In the event of a disclosure is required by applicable law, upon reasonable request by the non-disclosing Party/ies, the disclosing Party/ies shall use all reasonable efforts and co-operate with other Party's/ies' efforts to obtain confidential treatment of material so disclosed

7.3. The Parties shall exercise high degree of care and caution to preserve and protect the other Party's/ies' Confidential Information from disclosure in the manner that they protect their own Confidential Information.

7.4. Confidential Information disclosed shall be and remain the property of the disclosing Party/ies. The obligations of the Parties to protect Confidential Information shall survive the termination of this Agreement.

## **8. Publicity:**

8.1. The Parties shall be permitted to disclose all relevant aspects of this Agreement to their respective Nominees, investment bankers, lenders, accountants, legal counsel, bona fide prospective investors. Lenders, in each case only where such persons or entities are under appropriate non-disclosure Obligations imposed by professional ethics, law or otherwise, and to stock exchanges and other statutory & legal authorities. The disclosing Party shall take utmost care that by disclosing the information the other Party's/ies business interest are not adversely affected

8.2. It is agreed between the Parties that

(g) in the event either of the Parties is required to make any disclosure regarding this Agreement or any aspects related thereto pursuant to the provisions or requirements of law, then the Party/ies required to make such disclosure shall provide a reasonable notice to the other Parties. The Parties shall thereafter and prior to disclosing any such information, mutually agree on the content of the information being disclosed.

(h) in the event either of the Parties is required to make any disclosure regarding this Agreement or any aspects related thereto by way of release of any statement or information to the media, whether electronic or print

form, the disclosing Party/ies shall seek the prior written approval of the other Parties for such disclosure including on the content of such disclosure.

- 8.3. However, the Parties agree that such consents required to be obtained pursuant to this Clause 8 shall not be unreasonably delayed or withheld so as to cause breach of the time period for such disclosure.

**9. Notices:**

Any notice or other communication to be given by one Party to the others under, or in connection with the matters contemplated by, this Agreement shall be in writing and shall be given by letter delivered by hand or registered post to the address given and marked for the attention of the person as set out in the body of the Agreement and may be marked to the Parties as may be from time to time designated by notice to the other.

**10. Indemnity:**

Each of the Parties hereto shall indemnify and hold the others, its employees, officers, advisers and affiliates and any person controlling any of them and each of its or their officers, directors, employees and agents harmless from and against all third party actions, claims, damages, proceeding, investigations, liabilities or judgments and all losses, damage, costs, charges and expenses or whatever nature and in whatever jurisdiction, and which arise from the Agreement, other than for any claims or losses to the extent that are finally determined by a court of competent jurisdiction to have resulted primarily from gross negligence or willful default of the other Party/ies.

**11. Dispute resolution:**

The Parties hereto shall make all attempts to resolve all disputes and differences through mutual discussions/ negotiations whether relating to the interpretation, meaning, validity, existence or breach of this Agreement or any clause herein within a period of 1 month keeping in view the spirit of this Agreement.

In the event the dispute or differences between the parties are not settled mutually or fail to negotiate their differences, then the aggrieved Party shall by

giving a notice to the other Parties to refer the dispute or difference to a Sole arbitrator to be appointed mutually by the Parties. The arbitration shall be conducted according to the provisions of the Arbitration and Conciliation Act, 1996 as amended from time to time and the place of arbitration shall be ...(Name of the Place) .....

**12. Jurisdiction and Governing Laws:**

Subject to Clause 11, the Parties hereto irrevocably submit to the sole and exclusive jurisdiction of the (Name of the Courts) This Agreement is governed by the laws subsisting in India and any amendments thereto.

**13. Assignment:**

Neither of the Parties shall assign, outsource nor sub-contract this Agreement in whole or any part or on thereof to any of their affiliates, group companies or any third party without the written consent of the other Parties.

**14. Miscellaneous:**

14.1 The Agreement including any schedules and annexure attached hereto shall, constitute the entire understanding of the Parties relating to the subject matter hereof and shall supersede all past correspondence/letters exchanged/agreements executed between the Parties hereto.

14.2 Except as otherwise provided herein, this Agreement may not be varied/amended except by agreement in writing to be signed by all Parties.

14.3 The Parties agree that in performing their respective responsibilities pursuant to this Agreement, they are independent contractors and their personnel are not agents or employees of the other for any purpose whatsoever, and are not entitled to each other's employees' benefits. Each party is solely responsible for compensation of its personnel and for payment of workmen's compensation, disability and other similar benefits, unemployment and other similar insurance and for the withholding of other taxes and social security. Nothing herein may be construed to create an agency, joint venture; partnership or other relationship between the parties other than independent contractors.

14.4 If any provision of this Agreement is declared inoperative, void or illegal by a court of competent jurisdiction, the remaining provisions of the Agreement shall not be affected and shall continue to operate in full force unless this Agreement is thereby rendered impossible from perform.

14.5 If either of the Parties at any time fails to require strict compliance with any term or condition hereunder, such failure will not constitute a waiver of such term or condition or of any subsequent breach of that term or condition or a waiver of any other term or condition. For the avoidance of doubt, each Party's/ies' rights under this agreement may be used as each considers appropriate, and apply in addition to any law. Each party loses its rights only if it specifically waives them in writing.

14.6 All the terms and conditions which by its very nature, survive termination/expiry of the Agreement, shall survive such termination/expiry.

14.7 This Agreement may be executed in two (2) parts each which shall be deemed original and all of which shall be deemed one and the same Agreement.

IN WITNESS WHEREOF THE Parties have caused this Agreement to be executed on ....(Day).... of . ...(Month) , 20.... by their duly authorised representatives as a legally binding contract in 2 (two) original copies on the day and year first written above, each Party receiving one original copy.

**For and on behalf of Lead Generator**

Signature : .....

Signature:.....

Name:.....

Name:.....

Designation .....

Designation.....

**For and on behalf of Company A**

Signature: .....

Signature:.....

Name: .....

Name: .....

Designation: .....

Designation: .....

**For and on behalf of Company Z**

Signature: .....

Signature:.....

Name: .....

Name: .....

Designation: .....

Designation: .....



## Appendix-1

### Scope of Work:

- A. Each Party would monetarily support/share the Lead Generator in developing a common infrastructure of the group of generators and dedicated transmission network from Park to CTU sub-station.
- B. Each Party would monetarily support/share the Lead Generator in developing a common infrastructure which may be required at the CTUs sub-station like bay equipment etc.
- C. Each Party would monetarily support/share the Lead Generator in bearing the expenditure which may be incurred in day to day O&M of the common infrastructure for group of generators, dedicated transmission network and network/equipment in CTU sub-station.
- D. Each Party would monetarily support/share through the Lead Generator any charges which may be incurred as transmission charges/losses in money terms or kind as the matter may be from time to time.
- E. Each Party authorize the Lead Generator to approach the CTU for availing connectivity for the group of generators as a whole and shall share any expenses which may be incurred in such process.
- F. Each Party authorize the Lead Generator to represent them at any governmental/statuary and/or any other authority in respect of any matter whatsoever may be required in relation to development of above group of generators.

## **JOINT MANAGEMENT**

### **1. Management Committee**

- 1.1. The Management Committee shall review and decide upon all important matters relating to the Grant of connectivity and the Group of Projects (other than matters falling solely within the Scope of Work of one Party and not affecting the interests of any other Party), in particular:
  - 1.1.1. establish the Grant of connectivity format, coordinate the preparation of the technical and commercial content of the Grant of connectivity by the Parties and collate the Grant of connectivity for submission to the CTU and negotiation in relations to the Group of Projects and any proposed Variation, supplement or amendment thereto;
  - 1.1.2. any proposed revision of the Grant of connectivity for Group of Projects price(s);
  - 1.1.3. any proposed modification, reduction or extension of the Group of Projects schedule and, at the request of a Party, any work schedule previously agreed between the Parties;
  - 1.1.4. any proposed reallocation of supplies, services or responsibilities among the Parties;
  - 1.1.5. any proposed revision of the Proportionate Shares of the Parties;
  - 1.1.6. any proposed addition of another party to or expulsion of an existing Party from this Agreement;
  - 1.1.7. any other important matter raised by any of the Parties.
- 1.2. Each Party shall appoint a representative who shall be an officer or director of that Party and an alternate by notice in writing within (1) (one) week of the date of this Agreement. Each Party may revoke the appointment of and replace its representative and alternate by notice in writing to the Management Committee.
- 1.3. Meetings of the Management Committee shall be convened by the Lead Generator at least (4) (four) times a year and, should circumstances so require, at any other time at the request of a Party, stating the circumstances, by giving

- (2) (two) weeks' notice in writing or such lesser period of notice as the circumstances may reasonably demand.
- 1.4. Each representative, or alternate in the absence of the representative, shall be deemed to have the authority to represent the Party appointing him or her in respect of all matters concerning the Management Committee.
- 1.5. Meetings of the Management Committee shall normally be held at the Lead Generator's offices or otherwise as mutually agreed. In cases of particular urgency, decisions may also be reached by telephone, correspondence, telex, email or facsimile. All decisions arrived at by telephone or facsimile shall be promptly confirmed in writing to every representative on the Management Committee by the Lead Generator's representative.
- 1.6. All decisions of the Management Committee must be unanimous save as except where it has been expressly stated in this Agreement.
- 1.7. Should a meeting of the Management Committee fail to achieve unanimity on a proposal, a decision on that proposal shall be adjourn. In the event that the Parties are unable to arrive at an unanimous decision or on a modified proposal within 5 (five) working days of the original meeting and if a continued failure to resolve the issue would put the due performance of the Group of Projects materially at risk or in case of emergency, the Chairperson of the Management Committee shall be and hereby is empowered to take a decision to safeguard the common interest of the Parties and shall report such decision immediately to the other Parties. Such decision shall be duly implemented by the Parties without delay and without prejudice to the provisions of Clause 11 (Dispute - Resolution). If any Party fails to cause its representative or alternate to attend at a duly convened meeting of the Management Committee the meeting shall be adjourned for 2 (two) working days and the Parties shall immediately be notified by telex or facsimile of such adjournment. If the non-attending Party fails to cause its representative or alternate to attend the resumed meeting other than as a result of causes beyond the control of that Party, then unanimous decisions taken by those present at such meeting shall constitute a valid decision of the Management Committee.
- 1.8. The representative (or alternate in the absence of the representative) appointed
-

by the Lead Generator shall chair all meetings of the Management Committee.

- 1.9. The representative of the Lead Generator shall distribute minutes of each meeting of the Management Committee to each Party without delay. The minutes shall be deemed to have been accepted by the other Parties unless comments are made in writing within ten (10) working days of their distribution.
- 1.10. If any Party is in default under Clause 5 (Termination) then notwithstanding any other provision in this Agreement or its Appendices, such defaulting Party shall not be entitled to take part in any approval, action, step or proceedings with respect to the Agreement and the representative or alternate of such defaulting Party shall have no right to participate in the voting at any meetings of the Management Committee and any decisions or actions to be taken by the Management Committee in its absence shall be deemed a decision or action of the Parties.
- 1.11. The costs incurred by each Party in respect of its representative or alternate in the functioning of the Management Committee shall be borne by such Party and shall not be a cost chargeable to the other Parties.

**FORMAT-CONN-CA-5 (Cat-1)**

**CONNECTIVITY AGREEMENT (Cat-1)**

***Under Regulation 10.3 of CERC (Connectivity and General Network Access to the Inter-State Transmission System) Regulations, 2022.***

**Between**

**CENTRAL TRANSMISSION UTILITY OF INDIA LIMITED**

**AND**

**PARTY NAME**

This Connectivity Agreement (Cat-1) having ref. no. **C/CTUIL/CA/...../** entered into on the ..... day of.....Two Thousand Twenty Four (2024) between **CENTRAL TRANSMISSION UTILITY OF INDIA LIMITED**, a company incorporated under the Companies Act, 2013, having its registered office at Plot No.2, Sector 29, Gurugram, Haryana 122001, India (hereinafter referred to as “**Nodal Agency/CTU**” which expression shall unless repugnant to the context or meaning thereof include its successors and assigns) as party of the first part;

**AND**

**Party Name**, a company incorporated under the Companies Act, 1956/2013, having its registered office..... and correspondence address .....  
....., India (hereinafter referred to as ‘..... or ‘**Connectivity Grantee**’ which expression shall unless repugnant to the context or meaning thereof include its successors and assigns) as party of the second part.

**RECITALS**

- A. The present agreement is being signed between the Nodal Agency and the .....(Party Name) in terms of Regulation 10.3 of the CERC (Connectivity and General Network Access to the Inter-State Transmission System) Regulations, 2022 [hereinafter referred to as "GNA Regulations, 2022"]. The recitals have been framed in accordance with various provisions of the GNA Regulations, 2022".
- B. ....Party Name under Regulation 4/Regulation 17 of GNA Regulations, 2022, vide application no. ....dated..... had applied for grant of Connectivity /GNA for ....MW.
- C. In pursuance of CTU letter.....ref. no. .... dated....., .....Party Name has submitted the requisite Bank Guarantee to CTU. Nodal Agency vide its letter intimation ...dated.....has intimated final grant of Connectivity to .....(Party Name). Copy of Intimation letter and details of BG are annexed under "**Schedule A**" & "**Schedule B**" to the present agreement.

**NOW, THEREFORE, IN CONSIDERATION OF THE ABOVE PREMISES, IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES AS FOLLOWS:**

1. The grant of Connectivity shall be as per CTU intimation ref . no.... dated..... The same is annexed under "**Schedule-A**" to the present agreement.
2. ....Party Name shall enter into Connectivity Agreement (Cat-2) as per FORMAT-CONN-CA-5 (Cat-2) inter-alia containing technical connection details along with any amendments (inclusive of amendments, if any, in future) as issued by CTU and it shall become an integral part of this agreement. Further, injection of firm power for the Connectivity granted under intimation no. ....dated ..... shall be allowed only after signing of both the agreements viz. FORMAT-CONN-CA-5 (Cat-1) & FORMAT-CONN-CA-5 (Cat-2) by the .....(Party Name).

3. The ..... (Party Name).... shall be eligible to inject infirm power and draw start-up power only in terms of Regulation 13 read with Regulation 10.8 of the GNA Regulations, 2022, and in accordance with the provisions of the Central Electricity Regulatory Commission (Indian Electricity Grid Code) Regulations, 2023 ("IEGC Regulations") including Regulation 19 of the IEGC Regulations .
4. In terms of Regulation 10.7 (c) of GNA Regulations, 2022, in case of non-payment of transmission charges under Regulation 13 of the Sharing Regulations for more than 3 months from the due date, the same may be recovered by encashing Conn-BG1, Conn-BG2 and Conn-BG3, as required as per provision of Regulation 16.3 of GNA Regulations, 2022.
5. ....(Party Name).. undertakes to comply with the mandate, requirements and obligations under the Electricity Act, 2003, and all applicable CERC/CEA Regulations/Detailed Procedures and amendments thereof or re-enactment, including but not limited to the following:-
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2022 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) Central Electricity Regulatory Commission (Sharing of Inter-State Transmission Charges and Losses) Regulations, 2020;
  - iv) Central Electricity Regulatory Commission (Indian Electricity Grid Code) Regulations, 2023;
  - v) Central Electricity Regulatory Commission (Communication System for Inter –State transmission of Electricity) regulations, 2017;
  - vi) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - vii) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2022;
  - viii) CEA (Grid Standard) Regulations, 2010;
  - ix) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;

- x) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
- xi) CEA (Installation and Operation of Meters) Regulations, 2006;
- xii) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- xiii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiv) CEA (Manual of communication planning in Power System operation), March 2022;
- xv) Directions/Orders of the CERC/APTEL etc. *vis-a-vis* open access and liability emanating arising out of grant of open access.
- xvi) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

In case of any non-compliance of the above, treatment of the same shall be dealt by the Nodal Agency in terms of the relevant provisions of the law.

## 6. Confidentiality

The .....(Party Name).. shall keep in confidence any information obtained under this Connectivity Agreement and shall not divulge the same to any third party without the prior written consent of the other party, unless such information is;

- a) in the public domain,
- b) already in the possession of the receiving party,
- c) required by the Govt. Ministries/ **RLDC/NLDC**/Agencies/Court of competent jurisdiction.

The information exchanged herein between the parties shall be used only for the purpose of, and in accordance with, this Agreement and for the purpose stated herein. This clause shall remain in force even after termination of the Connection Agreement.

- 7. By signing this agreement .....(Party Name).. has agreed to indemnify and hold the Nodal Agency harmless from and against any and all damages, losses, liabilities, obligations, penalties, cause of action, claims of any kind (including, without limitation, reasonable attorneys' fees and expenses) (collectively, "Losses"), suffered, incurred or paid, directly, as a result of,



in connection with or arising out of and relating to exercise of Nodal Agency's actions pursuant to and in accordance with this agreement.

8. All correspondence/notices required or referred to under this agreement shall be in writing and signed by the respective authorized signatories of the parties mentioned herein, unless otherwise notified. Each such notice shall be deemed to have been duly given if delivered or served by email, registered mail/speed post of the department of post with an acknowledgment due to other party(ies) as per authorization by parties.
9. This agreement shall be valid from the date of signing of this agreement till the validity of Connectivity, subject to its revision as may be made by the parties to this agreement provided that this agreement may be mutually renewed or replaced by another agreement on such terms as the parties may mutually agree.

In witness whereof both the parties have executed this agreement through their authorized representative.

**Witness**

**For and on behalf of CTU  
CENTRAL TRANSMISSION UTILITY OF INDIA LTD.  
CIN:**

**Signature :.....**

**Signature:.....**

**Name:.....**

**Name:.....**

**Designation.....**

**Designation.....**

**For and on behalf of  
PARTY NAME  
CIN:**

**Signature :.....**

**Signature:.....**

**Name:.....**

**Name:.....**

**Designation.....**

**Designation.....**

**SCHEDULE "A"**

**1.CTU letter intimation no.....**

**Schedule "B"**

**2. Details of the Bank Guarantee(s) submitted: -**

| <b><u>S.No.</u></b> | <b><u>Bank<br/>Guarantee(s)</u></b> | <b><u>BG No. and<br/>date</u></b> | <b><u>Amount</u></b> | <b><u>Expiry<br/>date</u></b> | <b><u>Claim date</u></b> | <b><u>Bank</u></b> |
|---------------------|-------------------------------------|-----------------------------------|----------------------|-------------------------------|--------------------------|--------------------|
| 1.                  | Conn-BG1                            |                                   |                      |                               |                          |                    |
| 2.                  | Conn-BG2                            |                                   |                      |                               |                          |                    |
| 3.                  | Conn-BG3                            |                                   |                      |                               |                          |                    |

**SCHEDULE “C”**

**Connection Details(intimated by Nodal Agency) / Tentative Technical  
Connection Data(furnished by Grantee)**

**FORMAT-GNA-APP-1**

**APPLICATION FOR ADDITIONAL GRANT OF GNA TO STUs UNDER  
REGULATION 19**

1. **Name of the Applicant** :
2. **Address of correspondence** :
3. **State** :
4. **GST Number** :
5. **PAN No** :
6. **Contact Details**
  - Primary Contact Details**
    - Primary Contact Person Name :
    - Designation :
    - Phone No. (Mobile) :
    - E-Mail :
  - Alternate Contact Details:**
    - Alternate Contact Person Name :
    - Designation :
    - Phone No. :
    - E-Mail :
7. **Nature of applicant:** State Transmission Utility (STU)

**8. Details for Additional General Network Access (GNA)**

- a. Additional Quantum (MW) of GNA required:

| <b>Additional GNA(MW) / Financial Year</b> | <b>Quantum (MW) of GNA from within Region[A]</b> | <b>Quantum (MW) of GNA from outside Region[B]</b> | <b>Quantum (MW) of GNA required [C=A+B]</b> | <b>Start date of GNA</b> | <b>Peak demand (MW)</b> |
|--|--|---|---|--------------------------|-------------------------|
| <b>Year-1</b>                              |  |   |   |                          |                         |
| <b>Year-2</b>                              |  |   |   |                          |                         |
| <b>Year-3</b>                              |  |   |   |                          |                         |

- b. Entity wise segregation of GNA quantum (MW):

| Entity Name/<br>Financial Year | Year 1 | Year 2 | Year 3 |
|--------------------------------|--------|--------|--------|
| Entity Name-1:                 |        |        |        |
| Entity Name-2:                 |        |        |        |
| Entity Name-3:                 |        |        |        |
| Entity Name-X                  |        |        |        |
| <b>Total</b>                   |        |        |        |

## 9. Details of Documents Enclosed with the Application as applicable

- i. Notarized affidavit as per **FORMAT-AFFIDAVIT**
- ii. Certified true copy of Board Resolution authorizing a person for filing of application, where applicant is a company

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application for GNA are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, I shall have only one opportunity to rectify the deficiencies within the stipulated time period (as per Regulations/Procedure) where after the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Submission Time:

Digital Signature:

*[should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application]*

**FORMAT-GNA-APP-2**

**APPLICATION FOR GRANT OF GNA TO ENTITIES OTHER THAN STU UNDER  
REGULATION 20.1 AND 20.3 FOR ENTITIES UNDER REGULATION 17.1(II), (III)  
AND (V)**

1. **Name of the Applicant** :
2. **Address of correspondence** :
3. **State** :
4. **GST Number** :
5. **PAN No** :
6. **Contact Details**
  - Primary Contact Details**
    - Primary Contact Person Name :
    - Designation :
    - Phone No. (Mobile) :
    - E-Mail :
  - Alternate Contact Details:**
    - Alternate Contact Person Name :
    - Designation :
    - Phone No. :
    - E-Mail :
7. **Nature of applicant:**
  - Drawee entity connected to Intra State Transmission System
  - Distribution licensee seeking to connect to ISTS directly
  - Bulk consumer seeking to connect to ISTS directly
  - Transmission licensee connected to ISTS
8. **Details for General Network Access (GNA)**
  - a. Quantum (MW) of GNA required:
    - Quantum (MW) of GNA within the region: **[A]**
    - Quantum (MW) of GNA outside the region: **[B]**

- Total Quantum (MW) of GNA required: **[C=A+B]. It should be 50MW or more if “Bulk consumer seeking to connect to ISTS directly” OR “Distribution licensee seeking to connect to ISTS directly” is selected at 7 above.**
- b. Start date of GNA: **DD-MM-YYYY (Future date only starting from 1<sup>st</sup> day of next month)**
- c. End date of GNA (more than 11 months): **DD-MM-YYYY (Future date only. Should be more than 11 months from start date of GNA)**
- d. Intra-state substation including voltage level at which connected: **(for “Drawee Entity connected to intra-state transmission system”)**
- e. ISTS substation including voltage level at which connected: **(for “Transmission Licensee connected to ISTS”)**
- f. Details of nearest 765/400/220/132 kV ISTS sub-stations: **(for “Bulk consumer seeking to connect to ISTS directly” OR “Distribution licensee seeking to connect to ISTS directly”)**

Sub-Station Name:

Voltage levels available:

Owner:

Distance (km):

#### **9. Details of Bank Account for Refund of fee**

Beneficiary Account Number:

Beneficiary Account Name:

IFSC Code:

Bank Name:

Branch Name:

#### **10. Details of Documents Enclosed with the Application as applicable**



- i. Notarized affidavit as per **FORMAT-AFFIDAVIT**
- ii. Certified true copy of Board Resolution authorizing a person for filing of application, where applicant is a company
- iii. Consent of the concerned STU in terms of availability of transmission capacity in intra-State transmission system for such quantum and period of GNA by drawee entity connected to intra-state transmission system **[Mandatory in case nature of applicant is “Drawee entity connected to Intra State Transmission System”]**
- iv. Copy of licensee issued by appropriate Commission, if case of entity under Regulation 17.1 (iii) and (v), as applicable. **[Mandatory in case nature of applicant is “Distribution licensee seeking to connect to ISTS” OR “Transmission licensee connected to ISTS”]**

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application for GNA are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, I shall have only one opportunity to rectify the deficiencies within the stipulated time period (as per Regulations/Procedure) where after the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Submission Time:

Digital Signature:

*[should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application ]*

**Application fee should be Rs. 5.90 Lakh including GST @ 18%**

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**FORMAT-GNA-CB-APP-3**

**APPLICATION FOR GRANT OF GNA BY ENTITIES OTHER THAN STU UNDER  
REGULATION 20.2 FOR ENTITIES UNDER REGULATION 17.1(IV)**

1. **Name of the Applicant** :
2. **Address of correspondence** :
3. **State** :
4. **GST Number** :
5. **PAN No** :
6. **Contact Details** :
  - Primary Contact Details**
  - Primary Contact Person Name :
  - Designation :
  - Phone No. (Mobile) :
  - E-Mail :
  - Alternate Contact Details: None of the fields below (except Designation)  
should be same as that of primary contact**
  - Alternate Contact Person Name :
  - Designation :
  - Phone No. :
  - E-Mail :
7. **Nature of applicant:** Trading licensee engaged in cross border trade of electricity
8. **GNA intended for:**
  - i. Injection into Indian grid
  - ii. Drawl from Indian grid
  - iii. Injection along with drawl through Indian grid
9. **I . Details for General Network Access (GNA)**
  - a. Quantum (MW) of GNA required:
    - Quantum (MW) of GNA within the region: **[A]**
    - Quantum (MW) of GNA outside the region: **[B]**

- Total Quantum (MW) of GNA required: **[C=A+B]**
- b. Start date of GNA: **DD-MM-YYYY (Future date only starting from 1<sup>st</sup> day of next month)**
- c. End date of GNA (more than 11 months): **DD-MM-YYYY (Future date only. Should be more than 11 months from start date of GNA)**

## **II. Details of generation: (in case i or iii at 8 above)**

- a. Name of Generator:
- b. Type of generation (Thermal/Hydro/Nuclear/Gas/RE):
- c. Installed capacity:
- d. No. of units and unit size:

Unit/Phase-1:

Unit/Phase-2:

Unit/Phase-X

**Sum of above should be equal to 9.I.c**

- e. Country where generation is located: **(Bangladesh, Bhutan, Myanmar, Nepal, Sri Lanka)**
- f. Latitude and Longitude:
- g. Substation where physically connected:
- h. Nearest cross-border substation(s) in Indian grid including substation owner name:

## **III. Details of drawal: (in case ii or iii is selected at 8 above)**

- a. Country where drawal is intended: **(Bangladesh, Bhutan, Myanmar, Nepal, Sri Lanka)**
- b. Nearest cross-border substation(s) in Indian grid including substation owner name from where drawl is intended:

## **10. Details of Bank Account for Refund of fee**

Beneficiary Account Number:

Beneficiary Account Name:

IFSC Code:

Bank Name:

Branch Name:

**11. Details of Documents Enclosed with the Application as applicable**

- i. Notarized affidavit as per **FORMAT-AFFIDAVIT**
- ii. Certified true copy of Board Resolution authorizing a person for filing of application, where applicant is a company
- iii. Approval from Designated Authority with regard to eligibility of the Participating Entity/ Copy of the Inter Government Agreement (IGA)

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application for GNA are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, I shall have only one opportunity to rectify the deficiencies within the stipulated time period (as per Regulations/Procedure) where after the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Submission Time:

Digital Signature:

*[should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application]*

**Application fee should be Rs. 5.90 Lakh including GST @ 18%**

**FORMAT-GNA-APP-4**

**APPLICATION FOR GRANT OF GNA FOR ENTITIES COVERED UNDER  
REGULATION 17.2**

**1. Name of the Applicant :**

**2. Address of correspondence :**

**3. Contact Details :**

**Primary Contact Details**

Primary Contact Person Name :

Designation :

Phone No. (Mobile) :

E-Mail :

**Alternate Contact Details**

Alternate Contact Person Name :

Designation :

Phone No. :

E-Mail :

**4. Nature of applicant:**

xii. Generating station(s), including REGS(s), without ESS

xiii. Generating station(s), including REGS(s), without ESS through a lead generator

xiv. Generating station(s), including REGS(s), with ESS

xv. Generating station(s), including REGS(s), with ESS through a lead generator

xvi. Generating station(s), including REGS(s), with ESS through a lead ESS

xvii. Captive generating plant

xviii. Standalone ESS

xix. Standalone ESS through a lead generator

- xx. Standalone ESS through a lead ESS
- xxi. Renewable Power Park developer
- xxii. Renewable Energy generating station or standalone ESS through the electrical system of generating station or any entity already having Connectivity to ISTS

**5. Details for General Network Access (GNA):**

- v. Quantum (MW) for which GNA required :
- vi. Date from Which GNA required :

**6. Details of Bank Account for Refund of fee**

Beneficiary Account Number.:

Beneficiary Account Name.:

IFSC Code:

Bank Name:

Branch Name:

**7. Details of Documents Enclosed with the Application as applicable**

- vii. Notarized affidavit as per **FORMAT-AFFIDAVIT**
- viii. Certified true copy of Board Resolution authorizing a designated person for filing of application, where applicant is a company
- ix. Registration Number along with certificate issued by the CEA Registry
- x. Copy of Authorization by the Central Government or State Government as Renewable Power Park Developer

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application for GNA are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, I shall have only one

opportunity to rectify the deficiencies within the stipulated time period (as per Regulations/Procedure) where after the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Signature:

**FORMAT-GNA-TRANS-APP-5**

**APPLICATION FOR USE OF GNA BY OTHER GRANTEE(S) UNDER  
REGULATION 23**

1. **Name of the Applicant** :
2. **Address of correspondence** :
3. **Contact Details** :

**Primary Contact Details**

- Primary Contact Person Name :
- Designation :
- Phone No. (Mobile) :
- E-Mail :

**Alternate Contact Details**

- Alternate Contact Person Name :
- Designation :
- Phone No. :
- E-Mail :

**4. Nature of applicant:**

- i. State Transmission Utility
- ii. Drawee entity connected to intra-state transmission system
- iii. Distribution licensee or Bulk consumer
- iv. Electricity Trader (only in terms of Cross Border Regulations)
- v. Transmission licensee

**5. Details for General Network Access (GNA) Grantee :**

- a. Region where Applicant is connected to ISTS :



- b. Quantum (MW) of GNA granted :
- Quantum (MW) of GNA within the region :
  - Quantum (MW) of GNA outside the region :
- c. Start Date of GNA :
- d. End Date of GNA (Not applicable for STU) :

**6. Use of GNA by other grantee(s):**

| Region Name | Name of other GNA Grantee | Nature of other GNA Grantee | Quantum (MW) of GNA to be used |               |                | Period of usage of GNA (not exceeding 1 (one) year) |         |
|-------------|---------------------------|-----------------------------|--------------------------------|---------------|----------------|---|---------|
|             |                           |                             | Total                          | Within Region | Outside region | From Date (more than 60 days)                       | To Date |
|             |                           |                             |                                |               |                |   |         |
|             |                           |                             |                                |               |                |   |         |

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations. NOC from STU (as applicable). Request letter from the other grantee (s) who intends to use the transferred GNA.

I submit that all the details given in the attached Application for GNA are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, I shall have only one opportunity to rectify the deficiencies within the stipulated time period (as per Regulations/Procedure) where after the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Submission Time:

Digital Signature:

*[should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application]*

**FORMAT-GNA-REL-6****NOTICE FOR RELINQUISHMENT OF GNA UNDER REGULATION 25**

**1. Name of the Applicant :**

**2. Address of correspondence :**

**3. Contact Details :**

**Primary Contact Details**

Primary Contact Person Name :

Designation :

Phone No. (Mobile) :

E-Mail :

**Alternate Contact Details**

Alternate Contact Person Name :

Designation :

Phone No. :

E-Mail :

**4. Nature of GNA grantee:**

- i. State Transmission Utility
- ii. Drawee entity connected to intra-state
- iii. Distribution licensee or Bulk consumer connected to ISTS
- iv. Trading Licensee engaged in Cross Border trade
- v. Transmission licensee connected to ISTS

**5. Details of General Network Access (GNA) granted**

- i. Quantum (MW) of GNA granted :
  - Quantum (MW) of GNA within the region:
  - Quantum (MW) of GNA outside the region:
- ii. Start date of GNA

- iii. End date of GNA (NA for STU)

**6. Details for relinquishment of General Network Access (GNA):**

- i. Quantum (MW) of GNA to be relinquished
- Quantum (MW) for which GNA within the region
  - Quantum (MW) for which GNA outside the region
- ii. Date from which GNA relinquished

**7. Details of Relinquishment Charges**

- i. Number of months for which GNA relinquished (not applicable for STU)
- ii. Transmission charges paid in last billing month corresponding to relinquished GNA
- iii. Relinquishment Charges paid in advance

I confirm that I am well aware of the CERC Regulations and Detailed Procedure and all the details entered by me are in conformity with the Regulations.

I submit that all the details given in the attached Application for GNA are true and correct and nothing material has been concealed thereof. I hereby agree and acknowledge that in case of any deficiency in the application, I shall have only one opportunity to rectify the deficiencies within the stipulated time period (as per Regulations/Procedure) where after the application shall be liable for rejection at my risk and responsibility.

Submission Date:

Submission Time:

Digital Signature:

*[should be Class 3-type i.e. mapped to the company and having a validity for a minimum period of 3 months from date of application]*

**FORMAT-GNA-INTRA-NOC****NO OBJECTION CERTIFICATE (NOC) OF <<Name of State Transmission Utility>>**

NOC No. :

Issue Date :

1. Name of the STU issuing NOC :

2. Region : (NR/ER/NER/WR/SR)

3. Name of the Entity to whom NOC is issued :

4. Status of Entity : (State Utility/CPP/IPP/Discom etc.)

5. Point(s) of Connection to STU :

6. Max. MW ceiling allowed for Drawal\* :

(\*STU may specify different MW ceilings for different time blocks, if required.)

7. Start Date of NOC :

8. End Date of NOC :

9. Transmission losses (besides ISTS Transmission losses)

|                           | Whether Applicable or not<br>(Yes/No) | (%) loss |
|---------------------------|---------------------------------------|----------|
| State Transmission losses |                                       |          |
| Any other losses          |                                       |          |

10. Transmission charges (besides ISTS Transmission charges)

|                           | Whether Applicable or not<br>(Yes/No) | Rate<br>(Rs./MWh) |
|---------------------------|---------------------------------------|-------------------|
| State Transmission losses |                                       |                   |
| Any other charges         |                                       |                   |

**Declaration:**

It is hereby certified that:

- a) We have “No Objection” to seeking and availing GNA by <<Name of Entity>>, through ISTS up to the MW ceiling as specified above, in accordance with applicable Regulations of CERC/<<State>> ERC.
- b) We have the required infrastructure for energy metering and time block wise accounting in place. The State network has the required transfer capability for

transfer of power as per specified ceiling.

- c) The Transmission Charges for the use of State network and Operating Charges for the State Load Despatch Centres shall be directly settled by Power Exchange with us.
- d) The State Utility designated for the purpose of collection/disbursement of DSM charges shall be responsible for timely payment of State's composite dues into the Regional Pool Account.
- e) Any mismatch between the Scheduled and Actual drawal for the Intra-State Entity shall be determined by us and will be covered in the Intra-State DSM accounting scheme, or as applicable.
- f) The Reactive Energy Charges shall be governed by the Regulations applicable within the State.
- g) We shall disburse the Transmission Charges for use of the State Network to the State Transmission Licensee(s) directly.
- h) We shall inform the total import and export capability of the State as a whole to all concerned. Attempt shall be made to declare this in advance through our website.
- i) Any change in the contents of the NOC shall be conveyed to the party to whom NOC was given, at least 180 days prior to the day of transaction. In such cases, the RLDC(s)/NLDC shall also be informed simultaneously.

<< Signature >>

**\*Name:**

**\*Designation (of authorized signatory of STU):**

**\*Place:**

**\*Date:**

**\*Phone/Mobile No.:**

**\*Email id:**

**Note:** *\*Mandatory*

**FORMAT-GNA-TRANS-NOC****No Objection Certificate of <<Name of State Transmission Utility>>**

NOC No. :

Issue Date :

1. Name of the STU issuing NOC :

2. Region : (NR/ER/NER/WR/SR)

3. Name of the Entity to whom NOC is issued :

4. Status of Entity : (State Utility/CPP/IPP/Discom etc.)

5. Point(s) of Connection to STU :

6. Max. MW ceiling allowed for Drawal\* :

(\*STU may specify different MW ceilings for different time blocks, if required.)

7. Start Date of NOC :

8. End Date of NOC :

9. Transmission losses (besides ISTS Transmission losses)

|                           | Whether Applicable or not<br>(Yes/No) | (%) loss |
|---------------------------|---------------------------------------|----------|
| State Transmission losses |                                       |          |
| Any other losses          |                                       |          |

10. Transmission charges (besides ISTS Transmission charges)

|                           | Whether Applicable or not<br>(Yes/No) | Rate<br>(Rs./MWh) |
|---------------------------|---------------------------------------|-------------------|
| State Transmission losses |                                       |                   |
| Any other charges         |                                       |                   |

**Declaration:**

It is hereby certified that:

- We have "No Objection" to availing GNA (through transfer) by <<Name of Entity>>, through ISTS up to the MW ceiling as specified above, in accordance with applicable Regulations of CERC/<<State>> ERC.
- We have the required infrastructure for energy metering and time block wise accounting in place. The State network has the required transfer capability for

transfer of power as per specified ceiling.

- c) The Transmission Charges for the use of State network and Operating Charges for the State Load Despatch Centres shall be directly settled by Power Exchange with us.
- d) The State Utility designated for the purpose of collection/disbursement of DSM charges shall be responsible for timely payment of State's composite dues into the Regional Pool Account.
- e) Any mismatch between the Scheduled and Actual drawal for the Intra-State Entity shall be determined by us and will be covered in the Intra-State DSM accounting scheme, or as applicable.
- f) The Reactive Energy Charges shall be governed by the Regulations applicable within the State.
- g) We shall disburse the Transmission Charges for use of the State Network to the State Transmission Licensee(s) directly.
- h) We shall inform the total import and export capability of the State as a whole to all concerned. Attempt shall be made to declare this in advance through our website.
- i) Any change in the contents of the NOC shall be conveyed to the party to whom NOC was given, at least 180 days prior to the day of transaction. In such cases, the RLDC(s)/NLDC shall also be informed simultaneously.

<< Signature >>

**\*Name:**

**\*Designation (of authorized signatory of STU):**

**\*Place:**

**\*Date:**

**\*Phone/Mobile No.:**

**\*Email id:**

**Note:** *\*Mandatory*



**FORMAT-GNA-INT-1****INTIMATION FOR GRANT OF GNA TO STUs UNDER REGULATION 22**

|          |                                   |   |                                      |
|----------|-----------------------------------|---|--------------------------------------|
| <b>1</b> | <b>Intimation No.</b>             | : | CTU/Region/GNA-INT-1/Application no. |
|          | <b>Date</b>                       | : |                                      |
| <b>2</b> | <b>Ref. Application No.</b>       | : |                                      |
|          | <b>Date</b>                       | : |                                      |
| <b>3</b> | <b>Name of the Applicant</b>      | : |                                      |
| <b>4</b> | <b>Address for Correspondence</b> | : |                                      |
| <b>5</b> | <b>Nature of the Applicant</b>    | : | State Transmission Utility (STU)     |

**6. Details of General Network Access (GNA) requested**

| <b>GNA(MW)/ Financial Year</b>     | <b>FY<br/>20aa-bb</b> | <b>FY<br/>20bb-cc</b> | <b>FY<br/>20cc-dd</b> |
|------------------------------------|-----------------------|-----------------------|-----------------------|
| Quantum (MW) of GNA required       |                       |                       |                       |
| Quantum (MW) of GNA within Region  |                       |                       |                       |
| Quantum (MW) of GNA outside Region |                       |                       |                       |
| Start date of GNA                  |                       |                       |                       |

**7. Details of General Network Access (GNA) granted**

| <b>GNA(MW)/Financial Year</b>      | <b>FY<br/>20aa-bb</b> | <b>FY<br/>20bb-cc</b> | <b>FY<br/>20cc-dd</b> |
|------------------------------------|-----------------------|-----------------------|-----------------------|
| Quantum (MW) of GNA required       |                       |                       |                       |
| Quantum (MW) of GNA within Region  |                       |                       |                       |
| Quantum (MW) of GNA outside Region |                       |                       |                       |

|                             |  |  |  |
|-----------------------------|--|--|--|
| Start date of GNA           |  |  |  |
| Transmission System for GNA |  |  |  |

**8. Entity wise segregation of GNA granted:**

| Entity Name/ Financial Year | FY<br>20aa-bb | FY<br>20bb-cc | FY<br>20cc-dd |
|-----------------------------|---------------|---------------|---------------|
| Entity Name-1               |               |               |               |
| Entity Name-2               |               |               |               |
| Entity Name-3               |               |               |               |
| Entity Name-4               |               |               |               |
| <b>Total</b>                |               |               |               |

**Note: General Network Access is granted to the ISTS subject to the following:**

1. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
  - v) CEA (Grid Standard) Regulations, 2010;
  - vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
  - vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
  - viii) CEA (Installation and Operation of Meters) Regulations, 2006;

- ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

2. That the applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the GNA transaction.
3. The applicant/grantee shall be required to pay applicable ISTS transmission charges as per relevant CERC Regulations/Orders.

Place:

Name:

Date:

Designation:

**FORMAT-GNA-INT-2B**

**INTIMATION FOR FINAL GRANT OF GNA UNDER REGULATION 22.2 TO ENTITIES  
UNDER REGULATION 17.1 (II), (III) AND (V)**

|          |   |   |   |
|----------|---|---|---|
| <b>1</b> | <b>Intimation No.</b>                                     | : | CTU/Region/GNA<br>-INT-<br>2B/Application no. |
|          | <b>Date</b>   | : |   |
| <b>2</b> | <b>Ref. Application No.</b>                               | : |   |
|          | <b>Date</b>   | : |   |
| <b>3</b> | <b>Name of the Applicant</b>                              | : |   |
| <b>4</b> | <b>Address for Correspondence</b>                         | : |   |
| <b>5</b> | <b>Nature of the Applicant</b>                            |   |   |
|          | Drawee entity connected to Intra-STS                      | : |   |
|          | Distribution Licensee seeking to connect to ISTS          | : |   |
|          | Bulk Consumer seeking to connect to ISTS                  | : |   |
|          | Transmission Licensee connected to ISTS                   | : |   |
| <b>6</b> | <b>Details for General Network Access (GNA) requested</b> |   |   |
| a        | Quantum (MW) of GNA                                       | : |   |
| b        | Start date of GNA   | : |   |
| c        | End Date of GNA   | : |   |
| <b>7</b> | <b>Details of General Network Access (GNA) granted</b>    |   |   |
| a        | Quantum (MW) of GNA                                       | : |   |
| b        | Start date of GNA   | : |   |
| c        | End Date of GNA   | : |   |
| d        | Through existing system or with network augmentation      |   |   |

|          |   |   |             |
|----------|---|---|-------------|
|          | of system   |   |             |
| e        | Transmission System for GNA   | : |             |
| <b>8</b> | <b>BGs and Charges</b> [only for entity mentioned at Regulation 17.1 (iii)] |   |             |
| a        | Conn-BG1  | : | Rs. 50 Lakh |
| b        | Conn-BG2  | : |             |
| c        | Conn-BG3 at Rs. 2 Lakh/MW   | : |             |
| d        | One time GNA charge at Rs. 1 Lakh/MW  | : |             |

**Note: General Network Access is granted to the ISTS subject to the following:**

1. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
  - v) CEA (Grid Standard) Regulations, 2010;
  - vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
  - vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
  - viii) CEA (Installation and Operation of Meters) Regulations, 2006;
  - ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
  - x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;

- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

2. That the applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the GNA transaction.
3. The applicant/grantee shall be required to pay applicable ISTS transmission charges as per relevant CERC Regulations/Orders.
4. Even in case of failure to fulfil the conditions of NOC of STU by GNA grantee [applicable for entity under Regulation 17.1 (ii)] prior to start date of GNA, the GNA shall be considered effective.

**Only for entities under Regulation 17.1 (iii)**

5. Conn-BG1, Conn-BG2 and Conn-BG3, as applicable, shall be furnished within 1 (one) month of intimation of respective grant of GNA, failing which the application for GNA shall be closed, and the application fee shall be forfeited and applicable Conn-BGs would be encashed as per the Regulation. No extension of time shall be granted to furnish the requisite bank guarantee, and in such case the GNA shall be revoked under intimation to the GNA grantee.
6. The line to connect to the ISTS and necessary augmentation for providing connection to the ISTS, shall be constructed and maintained by a licensee arranged by Grantee at its own cost.
7. Depending on the topology and transmission system requirement, CTU may plan the connection of two or more bulk consumer/distribution licensee at terminal bay of an ISTS substation already allocated to another bulk consumer/distribution licensee (such as through Loop-in Loop-out (LILO) of DTL) or switchyard of a bulk

consumer/distribution licensee having Connectivity to ISTS for connection and drawl of power. In such cases, an agreement (model agreement as per **FORMAT-CONN-SHARE**) shall be duly signed within one (1) month of the intimation regarding the sharing of DTL and/or terminal bay between the applicants/grantee for sharing the terminal bay / switchyard / dedicated transmission line, failing which the intimations for grant of GNA of applicants / grantee shall be liable for revocation.

8. Connectivity grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007 & amendment(s) thereof, including the provisions of LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of "Connectivity Agreement". In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.
  9. The grantee shall furnish certificate issued by Electrical Inspectorate of CEA under Regulation 43 of the CEA (Measures relating to Safety and Electric Supply) Regulations, 2010 for the dedicated transmission line(s) and generator pooling station (s) within 10 days of receipt of same from CEA.
  10. Grantee shall provide details of Special Protection Scheme (SPS), if required, integrated into their system. In that event, details of SPS and its setting shall be worked out by the Grantee in consultation with respective RLDC and RPC.
  11. Grantee shall provide Fibre Optic based communication system comprising OPGW cable (having minimum 12 Fibers) & hardware fittings for the dedicated transmission line and with FOTE (STM-16) terminal equipment, FODP, and approach cables at the Generating station. At ISTS station, the OPGW shall be terminated in Junction box to be mounted at Terminal Gantry by the Connectivity grantee. The FOTE equipment, FODP and approach cable at ISTS station are to be provided by the bay owner, however, the grantee shall provide all necessary support to bay owner for successful commissioning of the communication system.
-

The communication system shall facilitate telemetry data communication, voice communication and tele-protection. Wherever transmission line is routed through multi-circuit towers, an OPGW of 48 Fibers shall be considered in Multi-Circuit Portion. Further, the Connectivity grantee also needs to provide Phasor Measurement Units (PMU) at the generating station.

12. Applicant to provide Next Generation Firewall as per the specification/ features uploaded at CTU website.

Place:

Name:

Date:

Designation:



**FORMAT-GNA-CB-INT-3B**  
**INTIMATION FOR FINAL GRANT OF GNA UNDER REGULATION 22.2 TO ENTITIES**  
**UNDER REGULATION 17.1 (IV)**

|          |  |   |  |
|----------|--|---|--|
| <b>1</b> | <b>Intimation No.</b>  | : | CTU/Region/GNA<br>-CB-INT-<br>3B/Application no. |
|          | <b>Date</b>  | : |  |
| <b>2</b> | <b>Ref. Application No.</b>                                    | : |  |
|          | <b>Date</b>  | : |  |
| <b>3</b> | <b>Name of the Applicant</b>                                   | : |  |
| <b>4</b> | <b>Address for Correspondence</b>                              | : |  |
| <b>5</b> | <b>Nature of the Applicant</b>                                 | : |  |
|          | Trading Licensee engaged in cross border trade                 | : |  |
| <b>6</b> | <b>Details for General Network Access (GNA) requested</b>      | : |  |
| a        | Quantum (MW) of GNA  | : |  |
| b        | Start date of GNA  | : |  |
| c        | End date of GNA  | : |  |
| d        | Injection/Drawal/Injection along with drawal                   | : |  |
| <b>7</b> | <b>Details of General Network Access (GNA) granted</b>         | : |  |
| a        | Quantum (MW) of GNA  | : |  |
| b        | Start date of GNA  | : |  |
| c        | End date of GNA  | : |  |
| d        | Through existing system or with network augmentation of system | : |  |
| e        | Transmission system for GNA                                    | : |  |

|          |   |   |             |
|----------|---|---|-------------|
| <b>9</b> | <b>BGs and Charges</b>  |   |             |
| a        | BGs in case of Injection/Injection along with drawal  |   |             |
|          | Conn-BG1  |   | Rs. 50 Lakh |
|          | Conn-BG2  |   |             |
|          | Conn-BG3 at Rs. 2 Lakh/MW   |   |             |
| d        | One time GNA charges (for all cases viz. Injection/Drawal/Injection along with drawal) at Rs. 1 Lakh/MW | : |             |

**Note: General Network Access is granted to the ISTS subject to the following:**

1. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
  - v) CEA (Grid Standard) Regulations, 2010;
  - vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
  - vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
  - viii) CEA (Installation and Operation of Meters) Regulations, 2006;
  - ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;

- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Ministry of Power, Govt. of India's Guidelines for import/export (Cross-Border) of Electricity-2018;
- xiv) CERC (Cross Border Trade of Electricity) Regulations, 2019;
- xv) Procedure for approval and facilitating import/export (cross border) of Electricity by the Designated Authority
- xvi) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

2. That the applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the GNA transaction.
3. The applicant/grantee shall be required to pay applicable ISTS transmission charges as per relevant CERC Regulations/Orders.
4. Conn-BG1, Conn-BG2 and Conn-BG3, as applicable, shall be furnished within 1 (one) month of intimation of respective grant of GNA, failing which the application for GNA shall be closed, and the application fee shall be forfeited and applicable Conn-BGs would be encashed as per the Regulation. No extension of time shall be granted to furnish the requisite bank guarantee, and in such case the GNA shall be revoked under intimation to the GNA grantee.
5. GNA grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid)

Regulations, 2007 & amendment(s) thereof, including the provisions of LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of “Connectivity Agreement”. In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.

Place:

Name:

Date:

Designation:

**FORMAT-GNA-INT-4**

**INTIMATION FOR GRANT OF GNA FOR ENTITIES COVERED UNDER  
REGULATION 17.2**

|          |   |   |                                      |
|----------|---|---|--------------------------------------|
| <b>1</b> | <b>Intimation No.</b>   | : | CTU/Region/GNA-INT-4/Application no. |
|          | <b>Date</b>   | : |                                      |
| <b>2</b> | <b>Ref. Application No.</b>   | : |                                      |
|          | <b>Date</b>   | : |                                      |
| <b>3</b> | <b>Name of the Applicant</b>  | : |                                      |
| <b>4</b> | <b>Address for Correspondence</b>   | : |                                      |
| <b>5</b> | <b>Nature of the Applicant</b>  | : |                                      |
|          | <ul style="list-style-type: none"> <li>i. Generating station(s), including REGS(s), without ESS</li> <li>ii. Generating station(s), including REGS(s), without ESS through a lead generator</li> <li>ii. Generating station(s), including REGS(s), with ESS</li> <li>v. Generating station(s), including REGS(s), with ESS through a lead generator</li> <li>v. Generating station(s), including REGS(s), with ESS through a lead ESS</li> <li>i. Captive generating plant</li> <li>ii. Standalone ESS</li> <li>ii. Standalone ESS through a lead generator</li> <li>x. Standalone ESS through a lead ESS</li> <li>x. Renewable Power Park developer</li> <li>i. Renewable Energy generating station or standalone ESS through the electrical system of generating station or any entity already having Connectivity to ISTS</li> </ul> |   |                                      |
| <b>6</b> | <b>Details for General Network Access (GNA) requested</b>   |   |                                      |
| a        | Quantum (MW) of GNA   | : |                                      |
| b        | Start date of GNA   | : |                                      |

|          |  |   |  |
|----------|--|---|--|
| <b>7</b> | <b>Details for General Network Access (GNA) granted</b>                              |   |  |
| a        | Quantum (MW) of GNA  | : |  |
| b        | Start date of GNA  | : |  |
| c        | Transmission System for GNA  | : |  |
| d        | Implementing Agency for transmission system required for GNA                         | : |  |
| <b>8</b> | <b>BGs and Charges</b>   |   |  |
|          | Conn-BG3 at Rs 2 Lakh/MW   |   |  |
|          | Amount (in Rupees) of one time GNA charge at Rs 1 Lakh/MW to be submitted by grantee | : |  |

**Note: General Network Access is granted to the ISTS subject to the following:**

1. Conn-BG1, Conn-BG2 and Conn-BG3, as applicable, shall be furnished within 1 (one) month of intimation of respective grant of Connectivity, failing which the application for Connectivity shall be closed, and the application fee shall be forfeited and applicable Conn-BGs would be encashed as per the Regulation. No extension of time shall be granted to furnish the requisite bank guarantee, and in such case the Connectivity shall be revoked under intimation to the Connectivity grantee/applicant.
2. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;
  - iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
  - v) CEA (Grid Standard) Regulations, 2010;

- vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
- vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
- viii) CEA (Installation and Operation of Meters) Regulations, 2006;
- ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

3. The applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and; all other obligations by or to third parties, arising out of or resulting from the Connectivity.
4. Connectivity grantee shall furnish progress of the monitoring parameters on quarterly basis in the format given at **FORMAT-CONN-STATUS-CG** by the last day of each quarter through the online facility developed on the CTU website. Procedure for online submission of status report of connectivity grantees is available on the CTU website.
5. The dedicated line including terminal line bay at generator end shall be developed by the grantee at their own cost.
6. Considering Right-of-Way near substation for termination of number of 400/ 220kV dedicated transmission lines, the connectivity grantees may coordinate among themselves for implementation of 400/ 220kV lines (as applicable) through multi circuit tower near the substation entry for about 2-3 kms stretches.
7. Depending on the topology and transmission system requirement, CTU may plan the Connectivity of any generating station(s) at terminal bay of an ISTS substation

already allocated to another Connectivity applicant/grantee (such as through Loop-in Loop-out (LILO) of DTL) or switchyard of a generating station having Connectivity to ISTS for connection and injection of power. In such cases, an agreement (model agreement as per **FORMAT-CONN-SHARE**) shall be duly signed within one (1) month of the intimation regarding the sharing of DTL and/or terminal bay between the applicants/grantee for sharing the terminal bay / switchyard / dedicated transmission line, failing which the intimations for grant of Connectivity of applicants / grantee shall be liable for revocation.

8. Operation and maintenance expenses as well as transmission losses from the generator pooling station up to the ISTS sub-station shall be shared in proportion to the capacity of the renewable energy generating stations sharing the transmission infrastructure.
9. Connectivity grantee shall have to furnish technical data and requisite compliance as per **FORMAT-CONN-TD-1 / FORMAT-CONN-TD-2 / FORMAT-CONN-TD-3** (as applicable) in line with CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007 & amendment(s) thereof, including the provisions of LVRT/HVRT, active power injection control, dynamically varying reactive power support, limits for Harmonic & DC current injection, Flicker limits, etc. (*Report of the Working Group in respect of data submission procedure and verification of compliance to CEA Regulations on Technical Standards for Connectivity to the Grid by RE generators* published on CTU website), to CTU within 30 days from final grant of Connectivity for signing of "Connectivity Agreement". In case technical data provided is tentative, then final technical data shall be provided at least one (1) year prior to physical connection.
10. Grantee shall have to inform likely date of synchronization, likely quantum and period of injection of infirm power before being put into commercial operation to the SLDC/RLDC concerned at least one month in advance and obtain their concurrence for the same.
11. The Connectivity grantee shall furnish certificate issued by Electrical Inspectorate of CEA under Regulation 43 of the CEA (Measures relating to Safety and Electric Supply) Regulations, 2010 for the dedicated transmission line(s) and generator pooling station (s) within 10 days of receipt of same from CEA.



12. As per IEGC and CERC Order in Petition No: 420/MP/2014, Wind / Solar Generators are required to provide data acquisition system facility for transfer of data and information to concerned SLDC and RLDC.
13. Grantee shall provide details of Special Protection Scheme (SPS), if required, integrated into their system. In that event, details of SPS and its setting shall be worked out by the Grantee in consultation with respective RLDC and RPC.
14. Connectivity grantee shall provide Fibre Optic based communication system comprising OPGW cable (having minimum 12 Fibers) & hardware fittings for the dedicated transmission line and with FOTE (STM-16) terminal equipment, FODP, and approach cables at the Generating station. At ISTS station, the OPGW shall be terminated in Junction box to be mounted at Terminal Gantry by the Connectivity grantee. The FOTE equipment, FODP and approach cable at ISTS station are to be provided by the bay owner, however, the grantee shall provide all necessary support to bay owner for successful commissioning of the communication system. The communication system shall facilitate telemetry data communication, voice communication and tele-protection. Wherever transmission line is routed through multi-circuit towers, an OPGW of 48 Fibers shall be considered in Multi-Circuit Portion. Further, the Connectivity grantee also needs to provide Phasor Measurement Units (PMU) at the generating station
15. Applicant to provide Next Generation Firewall as per the specification/ features uploaded at CTU website.

Place:

Name:

Date:

Designation:

**FORMAT-GNA-TRANS-INT-5****INTIMATION FOR USE OF GNA BY OTHER GRANTEE(S) UNDER REGULATION 23**

|          |   |   |  |
|----------|---|---|--|
| <b>1</b> | <b>Intimation No.</b>                                       | : | CTU/Region/GNA-TRANS-INT-5/Application no. |
|          | <b>Date</b>   | : |  |
| <b>2</b> | <b>Ref. Application No.</b>                                 | : |  |
|          | <b>Date</b>   | : |  |
| <b>3</b> | <b>Name of the Applicant</b>                                | : |  |
| <b>4</b> | <b>Address for Correspondence</b>                           | : |  |
| <b>5</b> | <b>Nature of the Applicant</b>                              |   |  |
|          | State Transmission Utility                                  | : |  |
|          | Drawee entity connected to Intra-STS                        | : |  |
|          | Distribution Licensee connected to ISTS                     | : |  |
|          | Bulk Consumer connected to ISTS                             | : |  |
|          | Trading Licensee(only in terms of Cross Border Regulations) | : |  |
|          | Transmission Licensee connected to ISTS                     | : |  |
| <b>6</b> | <b>GNA details of Applicant</b>                             |   |  |
| A        | Region where Applicant is connected to ISTS                 |   |  |
| B        | Quantum (MW) of GNA is granted (- sign for injection)       | : |  |
|          | Quantum (MW) of GNA within the region                       | : |  |
|          | Quantum (MW) of GNA outside the region                      | : |  |
| C        | Start Date of GNA   | : |  |
| D        | End Date of GNA(Not Applicable for STUs)                    | : |  |

**7 GNA transfer details**

| Name of other GNA Grantee | Nature of other GNA Grantee | Quantum (MW) of GNA to be used |               |                | Period of usage of GNA (not exceeding 1 (one) year) |         |
|---------------------------|-----------------------------|--------------------------------|---------------|----------------|---|---------|
|                           |                             | Total                          | Within Region | Outside region | From Date   | To Date |
|                           |                             |                                |               |                |   |         |
|                           |                             |                                |               |                |   |         |

**8 Total GNA details for**

| Name of GNA Grantee | Nature of GNA Grantee | Quantum (MW) of GNA |               |                | Period of GNA |         |
|---------------------|-----------------------|---------------------|---------------|----------------|---------------|---------|
|                     |                       | Total               | Within Region | Outside region | From Date     | To Date |
|                     |                       |                     |               |                |               |         |
|                     |                       |                     |               |                |               |         |

**Note: General Network Access is granted to the ISTS subject to the following:**

1. The Grantee shall abide by all provisions and its amendments thereof or re-enactment of:
  - i) Electricity Act, 2003;
  - ii) CERC (Connectivity and General Network Access to the inter-State transmission System) Regulations, 2021 and corresponding Detailed Procedure for Connectivity and GNA;
  - iii) CEA (Technical Standards for Connectivity to the Grid) Regulations, 2007;

- iv) CEA (Technical Standards for construction of Electrical Plants and Electric Lines) Regulations, 2010;
- v) CEA (Grid Standard) Regulations, 2010;
- vi) CEA (Safety requirements for construction, operation and maintenance of Electrical Plants and Electrical Lines) Regulations, 2011;
- vii) CEA (Measures relating to Safety and Electricity Supply) Regulations, 2010;
- viii) CEA (Installation and Operation of Meters) Regulations, 2006;
- ix) CEA (Technical Standards for Communication System in Power System Operations) Regulations, 2020;
- x) CERC (Communication System for Inter –State transmission of Electricity) regulations, 2017;
- xi) CERC (Indian Electricity Grid Code) Regulations, 2010;
- xii) CEA (Cyber Security in Power Sector) Guidelines, 2021;
- xiii) Any other applicable Act / Rules / Guidelines / Standards / Regulations / Procedures etc.

Non-compliance of above shall be dealt with as per the relevant provisions stipulated.

2. That the applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the GNA transaction.
3. The applicant/grantee shall be required to pay applicable ISTS transmission charges as per relevant CERC Regulations/Orders.
4. Even in case of failure to fulfil the conditions of NOC of STU by GNA grantee prior to start date of GNA, the GNA shall be considered effective.

Place:

Name:

Date:

Designation:

**FORMAT-GNA-REL-INT-6**

**INTIMATION FOR RELINQUISHMENT OF GENERAL NETWORK ACCESS (GNA)  
UNDER REGULATION 25**

|          |   |   |  |
|----------|---|---|--|
| <b>1</b> | <b>Intimation No.</b>                                     | : | CTU/Region/GNA-REL-INT-6/Application no. |
|          | <b>Date :</b>   |   |  |
| <b>2</b> | <b>Ref. Notice No.</b>                                    | : |  |
|          | <b>Date :</b>   |   |  |
| <b>3</b> | <b>Name of the GNA Grantee</b>                            | : |  |
| <b>4</b> | <b>Address for Correspondence</b>                         | : |  |
| <b>5</b> | <b>Nature of the Applicant</b>                            |   |  |
|          | State Transmission Utility                                | : |  |
|          | Drawee entity connected to Intra-STS                      | : |  |
|          | Distribution Licensee seeking to connect to ISTS          | : |  |
|          | Bulk Consumer seeking to connect to ISTS                  | : |  |
|          | Trading Licensee engaged in cross border trade            | : |  |
|          | Transmission Licensee connected to ISTS                   | : |  |
| <b>6</b> | <b>Details for GNA granted</b>                            |   |  |
| a        | Quantum (MW) of GNA (- sign for injection)                | : |  |
| b        | Start date of GNA   | : |  |
| c        | End Date of GNA (NA for STU)                              | : |  |
| <b>7</b> | <b>Details for relinquishment of GNA</b>                  |   |  |
| a        | Quantum (MW) of GNA relinquished (- sign for injection)   | : |  |
| b        | Date from which GNA relinquished                          | : |  |
| c        | Number of months for which GNA relinquished (NA for STUs) | : |  |

|   |   |   |  |
|---|---|---|--|
| d | Transmission charges paid in last month corresponding to relinquished GNA | : |  |
| e | Relinquishment Charges paid in advance                                    | : |  |

**Note: General Network Access is granted to the ISTS subject to the following:**

1. That the applicant shall keep the CTU and RLDC/NLDC indemnified at all times and shall undertake to indemnify, defend and keep the CTU, RLDC/NLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the GNA transaction.

Place:

Name:

Date:

Designation: